

1 **Mesoscale eddy effects on the subduction of North Pacific mode**
2 **waters**

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Abstract

27

Mesoscale eddy effects on the subduction of North Pacific mode waters are

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investigated by comparing observations and ocean general circulation models where

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eddies are either parameterized or resolved. The eddy resolving models produce

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results closer to observations than the non-eddy resolving model. There are large

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discrepancies in subduction patterns between eddy resolving and non-eddy resolving

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models. In the non-eddy resolving model, subduction on a given isopycnal is limited

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to the cross point between the mixed layer depth (MLD) front and the outcrop line

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whereas in eddy resolving models and observations, subduction takes place in a

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broader, zonally elongated band within the deep mixed layer region. Mesoscale eddies

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significantly enhance the total subduction rate, helping create remarkable peaks in the

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volume histogram that correspond to North Pacific subtropical mode water (STMW)

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and central mode water (CMW). Eddy-enhanced subduction preferentially occurs

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south of the winter mean outcrop. With an anticyclonic eddy to the west and a

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cyclonic eddy to the east, the outcrop line meanders south, and the thermocline/MLD

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shoals eastward. As eddies propagate westward, the MLD shoals, shielding the water

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of low potential vorticity from the atmosphere. The southward eddy flow then carries

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the subducted water mass into the thermocline. The eddy subduction processes

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revealed here have important implications for designing field observations and

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improving models.

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Keywords: Mesoscale eddy effects, subduction, mode waters, the North Pacific

48 **1. Introduction**

49 Mode waters, nearly vertically homogeneous layers within the main thermocline,
50 are distinctive water masses commonly seen in the subtropical gyres of the world
51 ocean [*Hanawa and Talley, 2001*]. Mode waters are believed to play an important role
52 in climate variability. They memorize wintertime ocean-atmosphere interactions and
53 re-emerge in the surface mixed layer in the subsequent winter season to interact with
54 the overlying atmosphere [*Hanawa, 1987; Suga and Hanawa, 1990; Bingham, 1992*].
55 They determine the stratification within the main thermocline [*Kubokawa, 1997*] and
56 regulate ocean biogeochemical cycles, for example, via the oceanic uptake of
57 atmospheric CO₂ [*Bates et al., 2002*].

58 A bias common to climate models in the North Pacific is that they simulate too
59 much mode water [*Ladd and Thompson, 2001; Xie et al., 2011; Xu et al., 2012a,*
60 *2012b*]. The potential vorticity (PV) minimum on isopycnals, a standard identifier of
61 mode water, is too low in climate models relative to observational estimates. This
62 biased signature persists downstream to the south whereas the observed PV minimum
63 is much more diffused even before moving southward in the subtropical gyre
64 [*Kobashi et al., 2006*]. The overly large amount of simulated mode water may
65 exaggerate mode water dynamics and affect the climate model's predictability. The
66 present study compares North Pacific mode waters and subduction rates among
67 observations and eddying and non-eddy resolving ocean general circulation models
68 (OGCMs). In particular we investigate the role of mesoscale eddies in mode water
69 subduction.

70 The effects of mesoscale eddies on mode water formation have received increasing
71 attention over the past decade due to the concurrent increase of remotely sensed and
72 in-situ observations [*Uehara et al., 2003; Qiu et al., 2006, 2007; Oka et al., 2009*],

73 and finer resolution OGCMs which resolve these processes [Qu *et al.*, 2002;
74 Nishikawa *et al.*, 2010; Oka and Qiu, 2012]. Marshall [1997] suggested that in strong
75 frontal regions where intense baroclinic instability occurs (e.g., in the Kuroshio
76 Extension region), an ensemble of eddies provides advection via the “bolus velocity”.
77 This eddy advection term may enhance the subduction rate of mode waters by an
78 amount of the same order as the mean flow subduction. Using the output of a global
79 high-resolution OGCM, Qu *et al.* [2002] pointed out that mesoscale eddies enhance
80 the annual subduction rate of North Pacific subtropical mode water (STMW) and
81 central mode water (CMW) by up to 100 *m/yr*, or 34% of the total subduction.
82 Recently, Nishikawa *et al.* [2010] estimated that eddies contribute some 50% of the
83 total mode water subduction rate based on an eddy resolving OGCM ($1/12^\circ \times 1/18^\circ$)
84 simulation of the western North Pacific. From a climatological viewpoint, subduction
85 is limited to the intersections of the winter mixed layer depth (MLD) front and
86 outcrop lines [Xie *et al.*, 2000], but eddies may broaden the horizontal extent of the
87 subduction sites. Using Argo profiling float data, Oka and Suga [2003, 2005] found
88 that the STMW formation region extends as far east as 175°E , while the CMW
89 extends as far west as 155°E . Remarkably, Oka *et al.* [2009] observed newly formed
90 CMW in the western North Pacific (27.5°N , 145°E) in a high-density hydrographic
91 survey. How eddies broaden the subduction zone has not been studied systematically.

92 Several physical processes of eddy subduction and transport have been identified.
93 Anticyclonic eddies in deep mixed layers have been found to contribute substantially
94 to STMW formation and transport [Uehara *et al.*, 2003; Pan and Liu, 2005].
95 Combined analyses of satellite-derived sea surface height (SSH) anomalies and Argo
96 profiling float data suggest that STMW tends to be trapped and transported by
97 anticyclonic eddies [Kouketsu *et al.*, 2011; Liu and Li, 2013]. Based on high-

98 resolution OGCM results, *Nishikawa et al.* [2010] suggested two local processes as
99 possible causes of eddy subduction: destruction of a horizontal PV gradient by eddy
100 mixing, and the southward translation of anticyclonic eddies that carry low PV. Other
101 processes such as the eddy-induced meandering of surface outcrops might also play
102 an important role in eddy subduction. As illustrated schematically in Fig. 1, net
103 subduction can be finite even when the Eulerian-mean subduction is zero if eddies
104 cause the outcrop lines of an isopycnal layer to open more widely during a subduction
105 period than during an obduction period [see also *Marshall, 1997; Kwon et al., 2013*].
106 Therefore, when evaluating the net contribution of eddies, the meandering of surface
107 density outcrops should be taken into consideration.

108 The present study investigates eddy effects on the subduction of North Pacific
109 mode waters (i.e. STMW and CMW). We wish to address the following questions:
110 does the representation of mode water subduction differ among observations and eddy
111 resolving and non-eddy resolving models? If yes, how do eddies cause these
112 differences? What are the physical processes responsible for eddy subduction? We
113 show that there are large differences in the subduction patterns between eddy
114 resolving and non-eddy resolving models. Eddies significantly enhance the total
115 subduction rate, and broaden the subduction zone within the deep mixed layer region.
116 We find that eddy subduction on isopycnals preferentially occurs south of the winter
117 mean outcrop line. The eddy subduction takes place on the eastern (western) flank of
118 the anticyclonic (cyclonic) eddies, where the outcrop line meanders south and the
119 mixed layer shoals eastward. The newly formed mode waters are sheltered from the
120 surface by the shoaling MLD, and are advected to the south by the eddy flow between
121 the anticyclonic and cyclonic eddies. The paper describes and provides evidence for
122 these eddy subduction processes.

123 The rest of this paper is organized as follows. Section 2 briefly describes the
124 models and data used in this study. Section 3 compares the mean fields related to
125 mode water subduction as represented by eddy resolving and non-eddy resolving
126 models and observations. Section 4 investigates key mechanisms of eddy subduction.
127 In section 5 we provide a summary.

128 **2. Data and Methods**

129 **2.1 Observations**

130 A fundamental aspect of this study is the comparison of observational and
131 simulated quantities to gauge the relative veracity of the simulations. Mean SSH was
132 obtained from the CNES-CLS09 product of *Rio et al.* [2011] at a spatial resolution of
133 $1/4^\circ$, while weekly SSH anomaly (SSHA) for 1994-2007 came from the Archiving,
134 Validation, and Interpretation of Satellite Oceanographic (AVISO) data [AVISO, 2008]
135 whose horizontal resolution is $1/3^\circ$; we further re-gridded the fields to a $1/4^\circ$ grid.
136 Monthly net surface heat flux data for 1994-2007, on a $1/3^\circ$ latitude \times 1.0° longitude
137 grid, was acquired from the Global Ocean Data Assimilation System (GODAS,
138 <http://www.esrl.noaa.gov/psd/>) developed at the National Centers for Environmental
139 Prediction (NCEP). Salinity and potential temperature were obtained from the 1°
140 gridded monthly Roemmich-Gilson Argo Climatology constructed from Argo float
141 data for the period 2004-2013 [Roemmich and Gilson, 2009].

142 **2.2 The Ocean Model for the Earth Simulator (OFES)**

143 The OGCM for the Earth Simulator (OFES) is based on the third Modular Ocean
144 Model (MOM3), which was substantially modified for optimal performance on the
145 Earth Simulator. The model domain extends from 75°S to 75°N , with a horizontal
146 grid spacing of $1/10^\circ$. The vertical spacing varies from 5 m at the surface to 330 m at
147 the maximum depth of 6,065 m. There are 54 vertical levels. The model was spun up

148 for 50-years using National Centers for Environmental Prediction and the National
149 Center for Atmospheric Research (NCEP/NCAR) monthly mean atmospheric
150 reanalysis fluxes. Subsequently, it was driven by daily mean NCEP/NCAR wind
151 stresses and surface heat fluxes for the period from 1950 to 2010. Scale-selective
152 damping by a biharmonic operator is utilized for horizontal mixing of momentum and
153 tracers to suppress computational noise. The viscosity and diffusivity coefficients are
154 $-2.7 \times 10^{10} \text{ m}^4 \text{ s}^{-1}$ for momentum and $-9 \times 10^9 \text{ m}^4 \text{ s}^{-1}$ for tracers at the equator. They vary
155 proportionally to the cube of the zonal grid spacing. The vertical viscosity and
156 diffusivity are calculated using the K-profile parameterization (KPP) [*Large et al.*,
157 1994]. Further details of the model and the simulation can be found in *Sasaki et al.*
158 [2008] and *Taguchi et al.* [2007]. The 3-day model outputs are downloaded from the
159 Asia Pacific Data Research Center
160 (<http://apdrc.soest.hawaii.edu/datadoc/ofes/ofes.php>).

161 **2.3 The Parallel Ocean Program (POP)**

162 **a. High-Resolution (POPH)**

163 A nominal $1/10^\circ$, 42-level global configuration of the Los Alamos National
164 Laboratory (LANL) Parallel Ocean Program was configured on a tripolar grid. The
165 horizontal grid spacing at the equator is 0.1° , with the latitudinal spacing decreasing
166 with cosine (latitude). The vertical levels are smoothly varying in thickness from 10 *m*
167 at the surface to 250 *m* at the maximum depth of $\sim 6,000 \text{ m}$. The model was initialized
168 from year 30 of a century-long simulation carried out by *Maltrud et al.* [2010] using
169 this same configuration, except that it was forced with monthly-averaged “Normal
170 Year (NY)” Coordinated Ocean-Ice Reference Experiments (CORE) atmospheric
171 fluxes constructed by *Large and Yeager* [2009]. The simulation analyzed here was
172 forced with CORE Phase 2 (CORE2) interannually varying forcing (IAF) for 1990-

173 2007. The first three years of the simulation were considered an adjustment period to
174 the high frequency forcing. The subgrid scale horizontal mixing was parameterized
175 using biharmonic operators for momentum and tracers. The viscosity and diffusivity
176 values vary spatially with the cube of the averaged grid length for a given cell and
177 have equatorial values, denoted by the subscript 0, of $\nu_0 = -2.7 \times 10^{10} \text{ m}^4 \text{ s}^{-1}$ and $\kappa_0 = -$
178 $0.3 \times 10^{10} \text{ m}^4 \text{ s}^{-1}$. The vertical mixing was based on KPP. We extracted the daily-
179 averaged output in the subtropical North Pacific region (10°N-50°N, 110°E-110°W)
180 for this study where the horizontal grid resolution is around 8 km.

181 **b. Low-Resolution (POPL)**

182 A non-eddy resolving POP simulation with a nominal horizontal resolution of 1°
183 was carried out for comparative purposes with the high-resolution ocean simulations.
184 The low-resolution model was first spun up from rest for 30 years using CORE NY
185 forcing; it was then forced with CORE2 IAF for 1990-2007. The *Gent and*
186 *McWilliams* [1990] parameterization for eddy-induced tracer transport was used with
187 isopycnal and thickness diffusion coefficients of $600 \text{ m}^2 \text{ s}^{-1}$. This value was chosen to
188 be low to highlight the effects of resolved eddies on mode water formation and
189 circulation when comparing the eddy resolving (OFES and POPH) and non-eddy
190 resolving (POPL) simulations. Submesoscale mixing was not active. The other
191 parameterization choices were identical to those used to configure POP in the
192 Community Climate System Model 4 (CCSM4) simulations [*Danabasoglu et al.*,
193 2012].

194 **2.4 Data Processing**

195 Results based on AVISO observations and output from the three simulations are
196 compared for the period 1994 to 2007. Argo observations, however, are only available
197 from 2000 to 2013. To understand if results obtained from this shorter period Argo

198 data would bias our interpretations we compared results from the models and Argo for
199 their overlapping period 2000-2007. We consider that the inconsistency between the
200 time periods of the eddy resolving simulations and observations will not cause large
201 discrepancies in the mean features of mode water subduction.

202 Three-daily fields are used from POPH and OFES; the OFES fields are snapshots
203 whereas the POPH fields are daily-averages. Our study considers variability on much
204 longer time scales so our results will not be significantly affected by this difference.
205 To provide further support for this choice, we also compared snapshot and daily
206 averaged fields, including potential density and velocities, from the Kuroshio
207 Extension Observatory (KEO) buoy [*Cronin et al.*, 2008], located to the south of the
208 Kuroshio Extension current at 32.3°N, 144.6°E. The difference between the snapshot
209 and daily-averaged fields is relatively small: it accounts for only ~3.9 % of the total
210 variance. Therefore, the calculated results should be independent of the different
211 archiving methods used for POPH and OFES.

212 At a single station/grid point without information from its surroundings, data
213 should cover at least several eddy life cycles to produce a statistically reliable mean
214 field. Given that the mesoscale eddies in the subtropical North Pacific have a typical
215 timescale of 100-200 days [e.g., *Ebuchi and Hanawa*, 2000], the 14-year time series
216 of data and model output used in this study is long enough for this purpose. Following
217 *Smith et al.* [2000], we estimated the first baroclinic Rossby radius for OFES and
218 POPH, which is mostly >10 km in the subtropical North Pacific region (not shown).
219 Typical length scales for mesoscale eddies are linearly related to the Rossby radius
220 but are somewhat larger [*Smith et al.*, 2000], so the mesoscale eddies should be
221 reasonably well resolved in the study region in OFES (uniform 0.1° resolution) and
222 POPH (8 km in the study domain).

223 **3. Comparison of the mean fields**

224 With resolved eddies in the high-resolution models and observations, mean fields
225 such as SSH, MLD and PV may differ from those in the non-eddy resolving model. In
226 order to examine the eddy effects on the large-scale climatology, this section
227 compares the 1994-2007 mean fields related to mode water subduction among
228 observations, OFES, POPH and POPL.

229 **3.1 Sea Surface Height**

230 Figure 2 depicts the mean and standard deviation of SSH in the study region from
231 model simulations and observations. The observed mean SSH was taken from *Rio et*
232 *al.* [2011] and the variance was calculated from the AVISO altimetry data. The
233 geostrophic relation directly relates geostrophic currents at the ocean surface to the
234 horizontal gradient of SSH. Compared to observations, the separation latitude (very
235 close to 34° N) of the Kuroshio current and the structure of the Kuroshio Extension
236 (KE) jet are effectively reproduced in the eddy resolving models (i.e., OFES and
237 POPH), whereas a northward overshooting Kuroshio appears in POPL, a feature
238 common to other non-eddy resolving models [*Guo et al.*, 2003]. The intensity of the
239 eddy field can be assessed by comparing the simulated and observed standard
240 deviation of the SSH variability. In eddy resolving models, the variability and eddy
241 activity in the Kuroshio Extension region are comparable to those of observations
242 with a magnitude of ~ 30 cm, even though the eddy activity in OFES is larger than in
243 POPH, especially north of the KE around 42° N. By contrast, the non-eddy resolving
244 model shows very low variability in the KE region. Just prior to separating from the
245 coast of Japan, the Kuroshio in the OFES field shows a deep meander; this feature is
246 not seen in AVISO or POPH. However, its effect on STMW and CMW subduction is

247 considered to be small because its location is far from the STMW and CMW
248 subduction regions.

249 **3.2 Mixed Layer Depth**

250 The mixed layer depth (MLD) is defined as the depth at which potential density
251 is different from the sea surface (10 m) density by 0.03 kg/m^3 . This simple definition
252 has been adopted by numerous previous studies [e.g., *Huang and Qiu*, 1994; *Suga et*
253 *al.*, 2004]. We have confirmed that the resulting MLD was not particularly sensitive
254 to a threshold ranging from 0.01 to 0.125 kg/m^3 . The MLD reaches its annual
255 maximum in March (Fig. 3). There are two MLD maxima deeper than 150 m along
256 32°N and 42°N in the gridded Argo Data (Fig. 3a). The northern band of deep MLD
257 extends to 165°W and is associated with CMW, while the southern band extends to
258 165°E and is associated with STMW [*Suga et al.*, 2004]. Sandwiched in between is a
259 shallower mixed layer along the KE jet, extending from the western boundary to
260 165°W . The two eddy resolving models, OFES and POPH, could only reproduce this
261 “sandwiched-structure” well in the upstream region of the KE (west of 160°E), where
262 the strong KE jet exists (Fig. 3b, c). In the downstream region of the KE jet (east of
263 170°E), the deep MLD in OFES and POPH becomes a single wide pool, whereas the
264 deep MLD ($>150 \text{ m}$) in observations is confined to north of 37°N . In POPL, there is
265 only one single deep mixed layer pool, with a sharp MLD front slanted northeastward
266 on the southern flank (Fig. 3d). The MLD in POPH and OFES in the downstream KE,
267 more closely matches the distribution of the non-eddy resolving model, POPL, than
268 the observations. The cause of the model bias in MLD in the downstream portion of
269 the KE is beyond the scope of this study, but it might be associated with surface heat
270 flux biases (Fig. 4); the patterns of March mean net surface heat flux from the models
271 are somewhat correlated with the model MLD distributions.

272 3.3 Potential Vorticity

273 The PV distribution on the core layers of STMW and CMW from Argo, OFES,
274 POPH and POPL are seen in Fig. 5. The PV (Q) is calculated by

$$275 \quad Q = -\frac{f}{\rho_0} \frac{\partial \rho}{\partial z}. \quad (1)$$

276 Here ρ is potential density, f is the Coriolis parameter, and ρ_0 is a reference density
277 (1024 kg/m^3). The acceleration potential relative to 2000 db is superimposed,
278 approximating the streamfunction on isopycnal surfaces. The core layer of STMW or
279 CMW is inferred from the total volume of the low PV water ($< 1.5 \times 10^{-10} \text{ m}^{-1} \text{ s}^{-1}$) for
280 the density class (Table 1 and the red curves of Fig. 8) over the North Pacific (120°E -
281 140°W ; 20° - 40°N).

282 In the non-eddy resolving model POPL, the MLD front slants northeastward from
283 the southwestern region of the subtropical gyre, whereas the outcrop lines slant
284 southeastward due to the northward overshooting Kuroshio on the Japanese coast (Fig.
285 3d). Mode water with minimum PV forms where the outcrop line intersects the MLD
286 front between 30°N and 34°N (Figs. 5g-h, 6d) by lateral induction [Xie *et al.*, 2000];
287 the mode water (i.e., STMW or CMW) formation is limited to a narrow region, so
288 narrow that we call it the subduction point (Fig. 5 bottom panels). In observations
289 (Fig. 3a), the MLD gradient is weak and the outcrop lines are almost zonal and nearly
290 in parallel with the MLD front, due to the jet and eddy effects. Mode water is formed
291 in a broader zone along the outcrop line; the STMW and CMW formation is even
292 found north of the MLD front (i.e., within the deep MLD region), implying that
293 eddies are broadening the subduction zone. In the eddy-resolving models, the MLD
294 front is stronger than in observations but is still weaker than in POPL, while the
295 outcrop lines slant slightly southeastward, intersecting the MLD front to the east of

296 165°E (Fig. 3b-c). Similar to observations, mode water is formed in a broader region
297 along the outcrop line.

298 Figure 6 shows zonal mean sections of potential density, MLD, and PV between
299 140° and 180°E for observations, OFES, POPH, and POPL. In the eddy resolving
300 models and observations (Fig. 6a-c), the vertical PV minimum is concentrated in a
301 narrow density range (i.e., 25.2-25.4 σ_θ for observations, 25.2-25.6 σ_θ for OFES and
302 25.0-25.4 σ_θ for POPH), whereas in POPL, the vertical PV minimum is found in a
303 wide density range of 25.3-26.0 σ_θ (Fig. 6d). PV dissipation along the mean trajectory
304 of the low PV tongue for the core layers of both STMW and CMW shows that
305 downstream of the formation site the PV minimum persists over a long distance in
306 POPL whereas it decays rapidly near the subduction site in the eddy-resolving models
307 and observations (Fig. 7), illustrating the strong dissipative role of mesoscale eddies.
308 The comparison of major features related to mode water subduction reveals large
309 differences between the eddy resolving and non-eddy resolving models. Due to the jet
310 and eddy effects, mode waters in the eddy-resolving simulations are formed in a
311 broader horizontal zone along the outcrop line, and the PV minimum tends to be
312 confined to narrow density ranges near the formation region. In the next section, we
313 investigate key mechanisms for eddy subduction.

314 **4. Subduction Rate**

315 This section investigates the effects of mesoscale eddies by diagnosing the
316 subduction rate from the models and observations. Section 4.1 gives the physical
317 basis for how explicitly resolved mesoscale eddies contribute to the total subduction
318 of a water mass, and section 4.2 quantifies the eddy subduction. Section 4.3
319 investigates the possible mechanisms of eddy subduction. Section 4.4 depicts the eddy

320 subduction patterns by tracing the water parcels released at the base of the March
 321 mixed layer to calculate the effective annual subduction rate.

322 4.1 Physical Basis for Analysis

323 According to *Cushman-Roisin* [1987] and *Williams* [1989, 1991], the subduction
 324 rate, S , is the volume flux of fluid per unit area entering the thermocline from the
 325 mixed layer:

$$326 \quad S = -\left(\frac{\partial h}{\partial t} + \mathbf{u}_h \cdot \nabla h + w_h\right). \quad (2)$$

327 The subduction rate increases either through increasing the downward velocity at the
 328 base of the mixed layer, w_h , the rate of mixed-layer shallowing, $\partial h / \partial t$, or the
 329 horizontal advection of fluid out of the mixed layer, $\mathbf{u}_h \cdot \nabla h$, where h is the thickness
 330 of the mixed layer. To quantify the net contribution of eddies to the total subduction,
 331 however, a simple Eulerian time-average of Eq. 2 is not appropriate since the surface
 332 area over which the water mass is outcropped is itself evolving [*Marshall*, 1997, see
 333 also Fig. 1]. Adapted from *Marshall* [1997], the net subduction of water mass (M) of
 334 a density range $\sigma_1 \leq \sigma < \sigma_2$ is given by the local subduction rate $S(t)$ multiplied by
 335 spacing, $\Delta A(t)$, between the two bounding outcrops, σ_1 and σ_2 : $M = S(t)\Delta A(t)$.

336 Separating the fluid variables into “mean” and “eddy” components (e.g., $h = \bar{h} + h'$,
 337 $\mathbf{u}_h = \bar{\mathbf{u}}_h + \mathbf{u}_h'$), where the “mean” represents a low-pass time-filtering operation over
 338 several baroclinic eddy life cycles, one finds

$$339 \quad \overline{M} = \overline{S(t)\Delta A(t)} = \{\overline{\mathbf{u}_h \cdot \nabla h + w_h}\} \overline{\Delta A} + \overline{\{\mathbf{u}_h' \cdot \nabla h'\} \Delta A} + \overline{\left\{\frac{\partial h}{\partial t} + \mathbf{u}_h \cdot \nabla h + w_h\right\}' \Delta A'}. \quad (3)$$

340 The eddy subduction is defined as the second and third terms of the right-hand side of
 341 Eq. 3. Here $\overline{\Delta A}$ is the outcrop area between time-mean outcrop lines for σ_1 and σ_2 ,
 342 and $\Delta A'$ represents the transient deviations from the time mean. While the MLD

343 change term $\frac{\partial h}{\partial t}$ vanishes over an annual cycle from the Eulerian viewpoint, its

344 correlation with the spacing of the meandering density outcrops, $\overline{\frac{\partial h'}{\partial t} \Delta A'}$, causes

345 large contributions to the total subduction, as shall be shown in subsection 4.3.

346 Hereafter we use the bar sigma notation for the isopycnal average. The relationship

347 between the potential vorticity (Q) of water subducted at the base of the mixed layer

348 and the net subduction of water mass (M) is discussed in the Appendix.

349 **4.2 Eddy Subduction**

350 The water mass subduction, \bar{M} , illustrates the importance of eddies in the overall

351 mass subduction from the mixed layer into the thermocline. However, Eq. 3 is not

352 ideal for diagnosing eddy subduction. In this subsection, we develop a more practical

353 expression. The annual subduction M of a density range ($\sigma_1 \leq \sigma < \sigma_2$), following the

354 time-dependent, meandering surface density outcrops, can be written as

$$355 \quad \overline{M(\sigma_1 \leq \sigma < \sigma_2)} = \frac{1}{T} \int_0^T \left[\sum_{\sigma_1 \leq \sigma < \sigma_2} S_{i,j}(t) \cdot \Delta A_{i,j} \right] dt, \quad (4)$$

356 where (i, j) is the horizontal grid index in the zonal and meridional directions in the

357 calculation domain (135°E-155°W, 25°-45°N), $\Delta A_{i,j}$ is the area of the horizontal grid

358 box that falls within the surface density range, t is time, and T is the averaging period.

359 Similar to *Nishikawa et al.* [2010], we introduce three components of subduction:

360 • Total subduction M_{total} , calculated from the high-frequency output for 14 years

361 (10 years from Argo);

362 • Mean subduction M_{mean} , calculated from monthly mean fields; and

363 • Eddy subduction M_{eddy} , the difference between the total and mean (i.e., $M_{\text{eddy}} =$

364 $M_{\text{total}} - M_{\text{mean}}$).

365 Figure 8 shows the subduction and its components (M_{mean} , and M_{eddy}) at $0.1 \sigma_\theta$
366 intervals for observations, OFES, POPH, and POPL. For observations, the
367 geostrophic velocities were calculated from Argo hydrographic data [*Huang and Qiu,*
368 1994]. Eddy effects may be smoothed out in the observational result due to the use of
369 the $1^\circ \times 1^\circ$ gridded Argo data. M_{eddy} for the non-eddy resolving model is due to weak
370 sub-monthly disturbances from the monthly mean fields, and does not represent
371 mesoscale eddy effects. Table 1 summarizes the total subduction and its components
372 for STMW and CMW. These results are generally consistent with the results from
373 *Tsujino and Yasuda* [2004], and *Nishikawa et al.* [2010], albeit with a slightly
374 different study region. As in previous studies, eddies significantly increase the
375 subduction of STMW and CMW.

376 The total subduction has two marked peaks in both the observations and the eddy
377 resolving models (OFES and POPH). The lighter density one corresponds to STMW,
378 while the denser represents CMW. In comparison, a single broad peak appears in the
379 CMW range in POPL (Fig. 8j). As discussed in the last section, maximum subduction
380 occurs where the outcrop line intersects the MLD front in the non-eddy resolving
381 model [*Xie et al.*, 2011]. Together with the northeastward slanted MLD front and the
382 southeastward slanted outcrop lines, large subduction (PV minimum) is almost
383 equally distributed in a broad density range (i.e., $25.3\text{-}26.4 \sigma_\theta$). By contrast, in the
384 eddy resolving models and observations, the MLD gradient is weaker and the outcrop
385 lines tend to be in parallel with the MLD front, due to jet and eddy effects.
386 Subduction is concentrated in narrow density ranges (Fig. 8 a, d, g) corresponding to
387 those of the two deep MLD bands (Fig. 3 a-c; Fig. 5 a-f). The mean subduction (M_{mean})
388 peaks for STMW and CMW are present in observations and the eddy resolving
389 models, but have lower magnitudes. In contrast, the eddy subduction, M_{eddy} , is larger

390 than M_{mean} with distinct peaks in the observations and OFES and POPH, implying
 391 important physical mechanisms for mode water subduction due to eddies.

392 **4.3 Physical processes**

393 The eddy effects on the subduction of STMW and CMW are quantified in the
 394 preceding subsection. This subsection identifies the physical processes of eddy
 395 subduction using the OFES 3-day outputs in the core layer of STMW ($25.3 \sigma_\theta$). We
 396 choose the STMW layer for our study because the largest eddy subduction ($10.33 Sv$,
 397 $\sim 80\%$ of the total subduction) occurs there, and because of good agreement with
 398 observations regarding the simulation of STMW. The core STMW layer for our
 399 analysis is $25.3 \pm 0.05 \sigma_\theta$. We only diagnose subduction in March, the time of the year
 400 when the mixed layer is deepest and subduction is strong.

401 Figure 9a shows the outcrop frequency of the $25.3 \pm 0.05 \sigma_\theta$ layer based on the
 402 OFES 3-day outputs in March from 1994 to 2007. The time-varying outcrop includes
 403 big meanders. The isopycnal subduction rate and its components are shown in Fig.
 404 9b-e. The *isopycnal subduction rate*, \bar{S}^σ , is obtained by integrating the time-varying
 405 subduction rate (Eq. 2) within the density range of $25.25 \leq \sigma < 25.35$

$$406 \quad \bar{S}^\sigma = \frac{\frac{1}{T} \int_0^T S_{i,j}(t) \cdot \Delta A_{i,j} \Big|_{25.25 \leq \sigma < 25.35} dt}{\frac{1}{T} \int_0^T \Delta A_{i,j} \Big|_{25.25 \leq \sigma < 25.35} dt} \quad (5)$$

407 The superscript σ indicates that it is average for a given isopycnal layer, distinct from
 408 the simple Eulerian mean S . The time integration here is based on the OFES 3-day
 409 outputs in March for 14 years. The isopycnal subduction rate is decomposed into

$$410 \quad \bar{S}^\sigma = \frac{\partial \bar{h}^\sigma}{\partial t} + \overline{\mathbf{u}_h \cdot \nabla h}^\sigma + \overline{w_h}^\sigma \quad (6)$$

411 The concept of the downward transport of \overline{S}^σ and its components is analogous to the
 412 eddy bolus transport or the eddy thickness transport [Marshall, 1997; Kwon *et al.*,
 413 2003], which refers to the transport caused by the subgrid-scale correlation between
 414 the velocity of water mass and the thickness of isopycnal.

415 As shown in the last subsection, eddy subduction dominates the total subduction
 416 on $25.3 \sigma_\theta$ in OFES. There is a broad eddy subduction zone extending from 140°E to
 417 almost 160°W , within the region of the deep mixed layer (Fig. 9b). The maximum
 418 eddy subduction takes place south of the mean $25.3 \sigma_\theta$ outcrop, dominated by the

419 MLD tendency term (Fig. 9c-e), $\frac{\overline{\partial h}^\sigma}{\partial t}$, due to the cross-correlation between the
 420 temporal variations in MLD and outcrop area. From a Eulerian point of view, the
 421 STMW and lighter CMW are formed in the case of large lateral induction by the
 422 mean flow [Suga *et al.*, 2008], $U_h \cdot \nabla h$, roughly at the intersection of the outcrop and
 423 MLD front in climate models [Xie *et al.*, 2000]. However, in an eddying ocean, the
 424 STMW and CMW are formed within the deep MLD region and to the south of the
 425 mean outcrop line (Fig. 9b), implying very different physical mechanisms. The
 426 isopycnal subduction rate \overline{S}^σ peaks well south of the mean outcrop because eddy
 427 subduction is associated with the southward meanders of the outcrop line. In other
 428 words, immediately south of the mean outcrop, the isopycnal is occasionally exposed
 429 to the atmosphere by eddies. There, the STMW layer is not always shielded from the
 430 mixed layer as the climatology implies, but may be exposed to the mixed layer in the
 431 presence of eddies. The mixed layer waters are injected into the pycnocline as the

432 mixed layer shoals in time in an expanded outcrop area, expressed as the $\frac{\overline{\partial h}^\sigma}{\partial t}$ term.

433 Similar results are also obtained on other isopycnals, including both the STMW
434 and CMW layers for OFES and POPH (not shown here). Along a similar line, *Kwon*
435 *et al.* [2013] showed that the “seasonal eddy subduction”, due primarily to subannual
436 correlations between the MLD and the outcrop area, contributed to mode water
437 subduction in the Southern Ocean. They suggested that the eddy contribution is a key
438 component of the “seasonal eddy subduction”, compared with the seasonal
439 perturbations. Besides the eddy effects, surface outcrops also change their locations
440 on seasonal to interannual timescales. Here the seasonal variation is eliminated since
441 we only focus on subduction in March, while POPL results suggest that interannual
442 variability in the winter outcrop is not the major cause of large subduction in mode-
443 water density ranges (Fig. 8i).

444 To determine how mode water is subducted by eddies, a snapshot from OFES on
445 March 26th, 2000 is shown in Fig. 10. The deep mixed layer occurs preferentially in
446 anticyclonic eddies and the recirculation gyre. The mixed layer is relatively shallow in
447 cyclonic eddies at the troughs of the meandering jet (Fig. 10a, b). The instantaneous
448 outcrop area (hatched pattern in Fig. 10b) for the density range of 25.25~25.35 σ_θ
449 intrudes to the south on the eastern flank of the anticyclonic eddies (e.g., 145°E), and
450 is even found isolated inside anticyclonic eddies (e.g., 143.5°E). Low PV water forms
451 where the outcrop area meanders to the south (Fig. 10c), with major subduction
452 events taking place around 144°E and 151°E, 33°N on the eastern flank of
453 anticyclonic eddies. The three components of instantaneous subduction rate (RHS of
454 Eq. 2) are shown in the right hand panels of Fig. 10. The newly formed low PV water
455 is generally co-located with the MLD tendency term $\frac{\partial h}{\partial t}$, while it has little to do with
456 the lateral induction and vertical pumping terms.

457 To generalize these findings, we make composites (Figs. 11-12) of the eddy
 458 subduction terms for the isopycnal layer 25.25~25.35 σ_θ based on the OFES 3-day
 459 outputs in March from 1994 to 2007. The center locations for the composite are
 460 determined when the total isopycnal subduction rate (Fig. 9b) exceeds 4.0×10^{-5} m/s.
 461 For each case meeting this criterion, a square region (5° latitude by 7° longitude),
 462 centered at the site of maximum isopycnal subduction, is extracted. Then all of these
 463 maps (626 cases) are averaged centered at the maximum isopycnal subduction. The
 464 statistical significance of the composite is evaluated using a t-test.

465 Figure 11 shows the horizontal composite maps. Consistent with the snapshot (Fig.
 466 10), the eddy subduction takes place between the anticyclonic and cyclonic eddies,
 467 where the southward dense (cold) advection takes place (Fig. 11a). The maximum
 468 outcrop area anomalies are collocated with the temporal shoaling of the MLD as the
 469 eddy pair with an eastward shoaling thermocline propagates westward (Fig. 12a-b).
 470 The mixed layer water is soon to be sheltered from the surface as the MLD shoals in
 471 time, forming the mode water. Results from Figs. 10 and 11 suggest that the
 472 correlation between the MLD tendency term and the meandering density outcrops, i.e.,

473 $\overline{\frac{\partial h'}{\partial t} \Delta A'}$, is the dominant mechanism for eddy subduction. The center of the

474 composite is displaced south of the winter mean outcrop latitude by 1.8° (Fig. 9b).
 475 This corresponds to an increase of the isopycnal outcropping in southern meanders of
 476 the instantaneous outcrop line, giving rise to a cross-correlation between the increased
 477 outcrop area and temporal shoaling of the MLD. By contrast, the contributions from
 478 the lateral induction and the vertical pumping terms are small for isopycnal
 479 subduction (Fig. 11 e-f).

480 Figure 12 displays vertical transects of the composite. The deep mixed layer is
481 often accompanied by a deep thermocline in anticyclonic eddies in the North Pacific,
482 and vice versa [*Suga and Hanawa, 1990; Uehara et al., 2003*]. Eddy-induced
483 thermocline displacements are much larger than the gyre-scale spatial variations of
484 the thermocline depth. The potential temperature is warmer and the density is lighter
485 in anticyclonic eddies relative to cyclonic eddies. The 25.25 σ_θ isopycnal is
486 outcropped between the anticyclonic and cyclonic eddies, well south of its mean
487 outcropping due to the dense (cold) advection. Three days later, the MLD becomes
488 stratified partly due to the surface heating anomaly (Fig. 11b, c; Fig. 12a), and the
489 25.25 σ_θ isopycnal is no longer outcropped (red curves in Fig. 12a), sheltered under
490 the shoaling MLD as eddies travel westward. Both the surface heating anomaly and
491 the west propagation of eddies are responsible for the MLD shoaling, sequestering
492 low PV waters from the surface. After subduction, the newly formed mode waters are
493 advected to the south beneath the mixed layer by the southward flow between the
494 anticyclonic and cyclonic eddies (Fig. 12b, d). Being injected into the thermocline
495 south of the March mean outcrop region, the water mass tends to stay in the
496 thermocline, rather than being entrained into the mixed layer. Thus, the effect of the
497 southward eddy flow that advects the subducted water parcels is not balanced by the
498 effect of the northward eddy flow [*Qu et al., 2002*]. The next subsection illustrates
499 this eddy subduction effect by tracing water parcels at the base of the March mixed
500 layer for one year to calculate the effective annual subduction rate.

501 **4.4 Annual Subduction Rate**

502 To obtain a geographic distribution of eddy effects on the subduction, the annual
503 subduction rate is calculated by integrating the instantaneous subduction rate (Eq. 2)

504 over one year from the end of the first winter t_1 to that of the second winter t_2 in
 505 Lagrangian coordinates [*Qiu and Huang, 1995*]:

$$506 \quad S_{ann} = \frac{1}{T} \int_{t_1}^{t_2} S(t) dt = -\frac{1}{T} \int_{t_1}^{t_2} w_h dt + \frac{1}{T} (h(t_1) - h(t_2)) , \quad (7)$$

507 where $T = 1 \text{ yr}$. The first term on the right hand side represents the vertical pumping
 508 at the base of the mixed layer averaged along the Lagrangian trajectory, and the
 509 second term the contribution from temporal/lateral induction due to the sloping mixed
 510 layer base. In the following, we trace water parcels released at the base of the March
 511 mixed layer using three-daily fields to examine the eddy contribution. The total
 512 subduction rate, S_{total} , is calculated using the instantaneous model outputs, while the
 513 mean subduction rate, S_{mean} , is calculated using monthly mean fields. The eddy-
 514 induced subduction rate, S_{eddy} , is measured simply as the difference between S_{total} and
 515 S_{mean} , following *Qu et al. [2002]*.

516 The annual subduction rate and its components are shown in Fig. 13. The eddy
 517 subduction, S_{eddy} , is as large, and perhaps larger than the subduction by the mean flow.
 518 The spatial distributions of the eddy and mean flow subduction are different: eddy
 519 subduction happens in a broader zone (mostly inside the deep mixed layer region),
 520 whereas strong subduction by the mean flow is concentrated along the MLD front as
 521 in POPL, implying the importance of lateral induction for the latter. These features
 522 are consistent with the mode water formation patterns discussed in the preceding
 523 section. The mode water formation transforms from a narrow subduction point in non-
 524 eddy resolving POPL, to a broader subduction zone in the eddy-resolving simulations
 525 due to the strong eddy subduction processes.

526 In this section, we have diagnosed the subduction rate in three different ways to
 527 investigate the direct eddy effects. We find that eddies significantly increase the

528 subduction rate, and expand the subduction region inside the deep mixed layer. South
529 of the March mean outcrop line the isopycnal is occasionally exposed to the
530 atmosphere by eddies in southward meanders of the outcrop line. That is the time
531 when strong subduction happens via the MLD shoaling.

532 **5. Summary**

533 We have investigated the role of eddies on the subduction of North Pacific mode
534 waters based on a comparison of observations and two eddy resolving OGCMs and
535 one non-eddy resolving OGCM. Subduction differs greatly between eddy resolving
536 and non-eddy resolving models. In the non-eddy resolving model, subduction on a
537 given isopycnal is concentrated at the intersection of the MLD front and the outcrop,
538 so narrow that it may be called subduction point. In eddy resolving models and
539 observations, by contrast, subduction takes place in a broader zone, inside the region
540 of deep MLD. The March mean MLD front, which is a narrow transition zone
541 separating shallow and deep mixed layers, is less pronounced in eddy resolving
542 models than in the non-eddy resolving model. The realistic separation of the Kuroshio
543 from the Japanese coast and the strong Kuroshio Extension jet make outcrop lines
544 tend to be zonal in the eddy resolving models. Both of these effects allow subduction
545 to occur in a broader zone. In addition to widening the subduction region, eddies
546 significantly increase the total subduction rate. Strong eddy subduction takes place in
547 the deep mixed layer region in contrast to the non-eddy resolving model where
548 subduction by the mean flow is confined to the MLD front.

549 A key finding of our study is that eddy subduction takes place south of the mean
550 winter outcrop line between an anticyclonic eddy with a deep mixed layer to the west
551 and a cyclonic eddy with a shallow mixed layer to the east (Fig. 14). There, the eddy
552 pair causes the outcrop line to meander southward by dense (cold) advection, and the

553 MLD shoals with time via surface heating anomaly and the west propagation of
554 eddies. The cross-correlation between the temporal shoaling of the mixed layer and
555 southward migration of the outcrop line intensifies subduction. Advected by the
556 southward flow between the anticyclonic and cyclonic eddies, the subducted water
557 mass moves southward beneath the upper thermocline.

558 Substantial differences exist in the North Pacific mode water simulation between
559 eddy resolving and non-eddy resolving models. Further work, however, needs to be
560 done to realistically simulate MLD in eddy-resolving models. We note that the MLD
561 in eddy resolving models is much deeper than in observations. Specifically in the
562 eastern part of the Kuroshio Extension, eddy resolving and non-eddy resolving
563 models share a common deficiency: the winter mixed layer is too deep, forming a
564 broad pool of deep MLD instead of a narrow deep MLD band north of the KE jet in
565 observations. This deficiency in MLD simulation is likely to affect CMW formation.

566 Our results indicate that eddies significantly increase the total subduction rate, by
567 up to 50%. However, the mode waters are dissipated quickly after being subducted
568 into the thermocline (Figs. 5-7), not conforming to the key assumption of PV
569 conservation in ventilated thermocline theories. In non-eddy resolving models,
570 isopycnal PV dissipates too slowly along the low PV tongues of the core layers of
571 STMW and CMW. Such non-conservative properties of mode water PV in
572 observations and eddy resolving models have important implications in regard to their
573 effects on the North Pacific subtropical countercurrent (*Kubokawa, 1997; Kobashi et*
574 *al., 2006; Xu et al., 2012b*) and ocean stratification in general. Dissipation of mode
575 waters and the effects of eddies are important subjects of future studies. Ocean
576 University of China just completed a field experiment immediately south of the
577 winter mean outcrop line of the STMW core density southeast of Japan (*Xie, 2013*).

578 The results from the analysis of the field observations will shed light on eddy
579 subduction and dissipation processes.

580

581

Appendix

582 **Relationship between the potential vorticity of the subducted fluid (Q) and the**
 583 **net subduction of the water mass (M)**

584 The Potential Vorticity (PV) in the ventilation regime may be defined in terms of
 585 fluid leaving the mixed layer and entering the stratified thermocline (Fig. A1); see the
 586 discussion of *Williams* [1989, 1991]. The PV of water subducted at the base of the
 587 mixed layer is expressed as

$$588 \quad Q = -\frac{f}{\rho_0} \frac{\Delta\rho/\Delta t}{\Delta z/\Delta t} = \frac{f}{\rho_0} \frac{\frac{\partial\rho_h}{\partial t} + \mathbf{u}_h \cdot \nabla\rho_h}{-\left(\frac{\partial h}{\partial t} + \mathbf{u}_h \cdot \nabla h + w_h\right)}, \quad (\text{A1})$$

589 where ρ_h is the mixed layer density, h the MLD, \mathbf{u}_h and w_h are the horizontal and
 590 vertical velocities at the base of the mixed layer, respectively, and ∇ is the horizontal
 591 differential operator. The water acquires low Q through (i) *an increase* in the
 592 subudction rate, $S = -\left(\frac{\partial h}{\partial t} + \mathbf{u}_h \cdot \nabla h + w_h\right)$, or (ii) *a decrease* in the rate of mixed layer
 593 warming, $\frac{\partial\rho_h}{\partial t}$, or cross-isopycnal flow, $\mathbf{u}_h \cdot \nabla\rho_h$.

594 Following *Marshall* [1997], the net subduction of the water mass (M) of a density
 595 range $\sigma_1 \leq \sigma < \sigma_2$ is given by the local subduction rate S multiplied by spacing, ΔA ,
 596 between the two bounding outcrops, σ_1 and σ_2

$$597 \quad M = S\Delta A. \quad (\text{A2})$$

598 The outcrop area bounding the subducted water mass, ΔA , is inversely proportional to
 599 the downstream gradient of the mixed layer density, $\frac{\mathbf{u}_h \cdot \nabla\rho_h}{U_h}$, where U_h is the
 600 horizontal current speed (Fig. A1). Eq. A1 can be recast as

601
$$Q \propto \frac{f}{\rho_0} \left(\frac{\partial \rho}{\partial t} \Delta A + U_h \Delta \rho \right) \frac{1}{S \Delta A} = \frac{f}{\rho_0} \left(\frac{\partial \rho}{\partial t} \Delta A + U_h \Delta \rho \right) \frac{1}{M}, \quad (\text{A3})$$

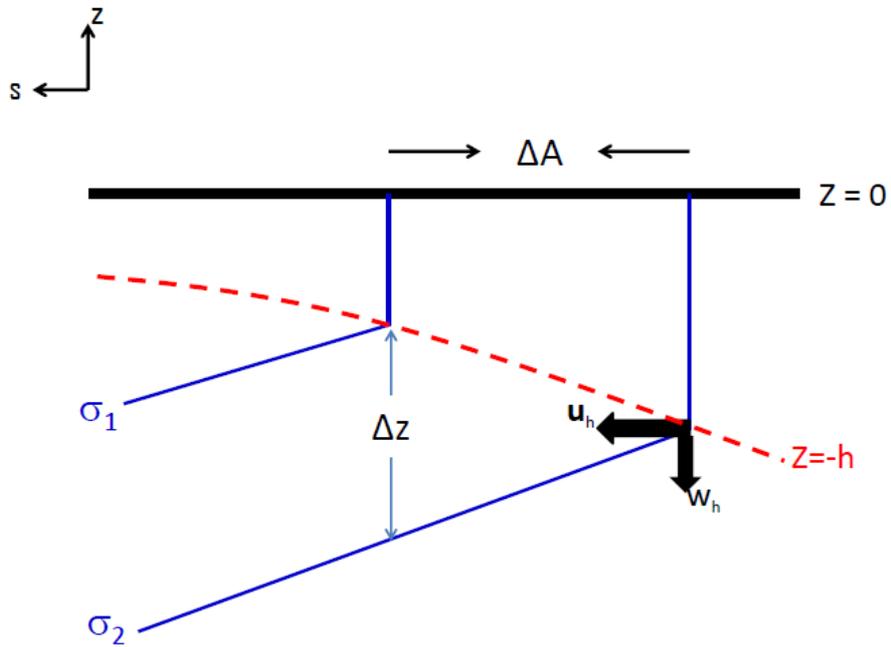
602 where $\Delta \rho = \sigma_2 - \sigma_1$. Thus M is related to potential vorticity at the time of subduction.

603 Subducted water acquires low PV in the case of large subduction (M).

604

605

606



607

608 FIG. A1. Schematic diagram showing water parcels subducted from the mixed layer

609 ($z = -h$) within a density range $\sigma_1 \leq \sigma < \sigma_2$. The horizontal coordinate is aligned

610 parallel to the horizontal flow. The blue solid lines represent isopycnals and the red

611 dashed line is the base of the mixed layer. The area over which the water mass is

612 outcropped at the sea surface, ΔA , is inversely proportional to the downstream mixed-

613 layer density gradient $\frac{\mathbf{u}_h \cdot \nabla \rho_h}{U_h}$.

614

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626

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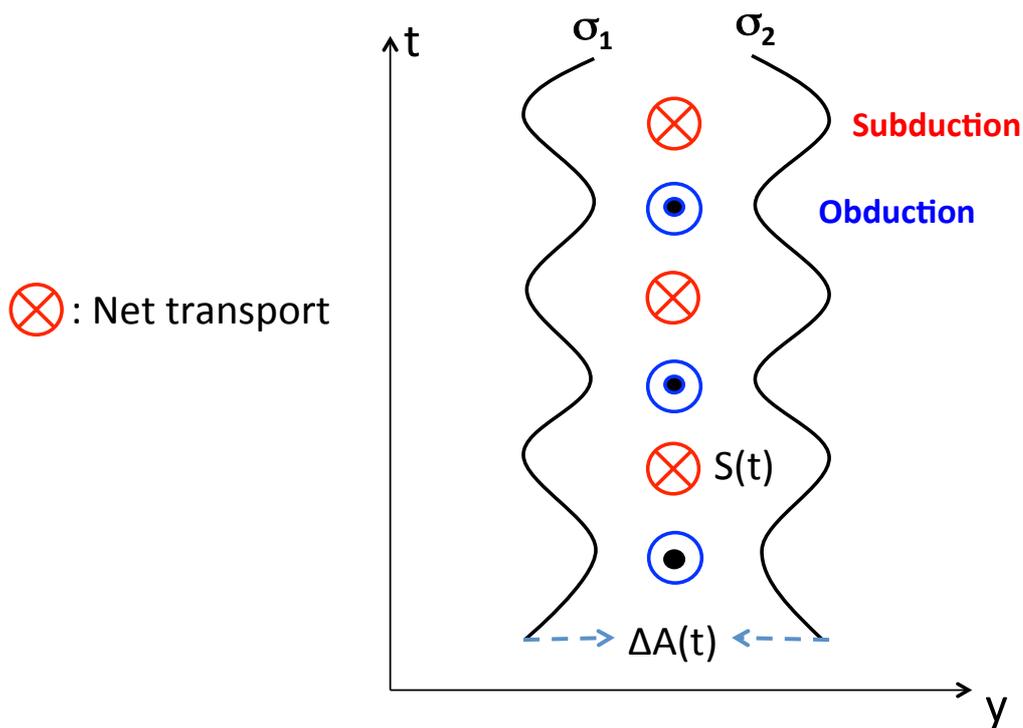
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756 projections. *J. Geophys. Res.-Oceans*, **117**, C12009, doi:10.1029/2012JC008377.

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760 **Table 1.** List of properties for STMW and CMW, includes the core layer density,
 761 density range, total subduction (M_{total}) and its components (M_{mean} and M_{eddy})
 762 integrated for the entire density range for observations, OFES, POPH, and POPL.

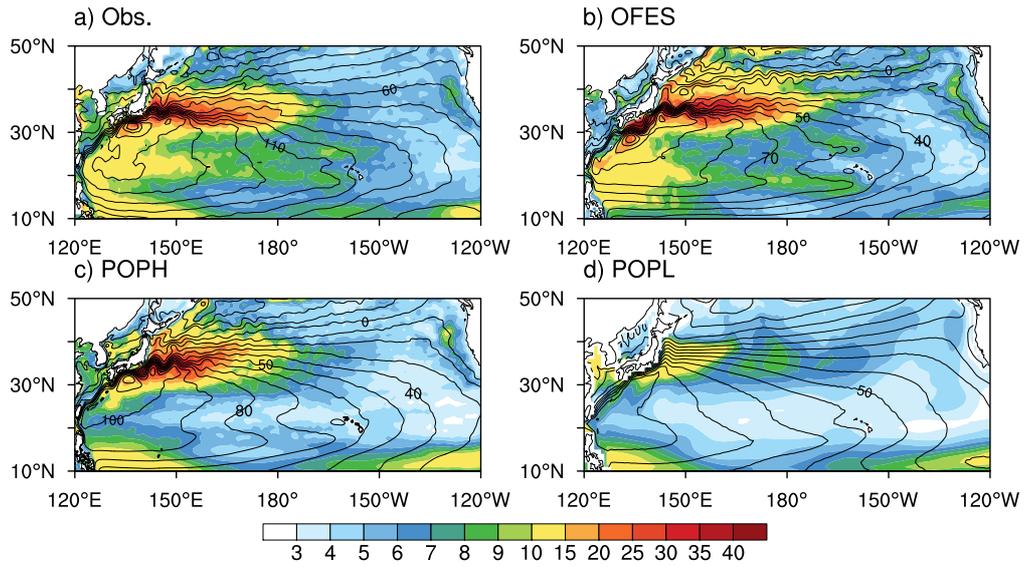
Data	Core layer (σ)	Density range (σ)	M_{total} (Sv)	M_{mean} (Sv)	M_{eddy} (Sv)
Obs.					
STMW	25.3	24.9~25.5	8.99	4.02	4.98
CMW	26.0	25.7~26.6	7.29	3.27	4.02
OFES					
STMW	25.3	25.2~25.6	13.10	2.77	10.33
CMW	26.2	26.0~26.4	11.78	4.37	7.42
POPH					
STMW	25.0	24.8~25.3	11.24	3.11	8.13
CMW	25.9	25.9~26.3	8.33	3.51	4.82
POPL					
STMW	25.4	25.3~25.8	4.31	3.74	0.57
CMW	26.0	26.0~26.4	6.20	5.81	0.39

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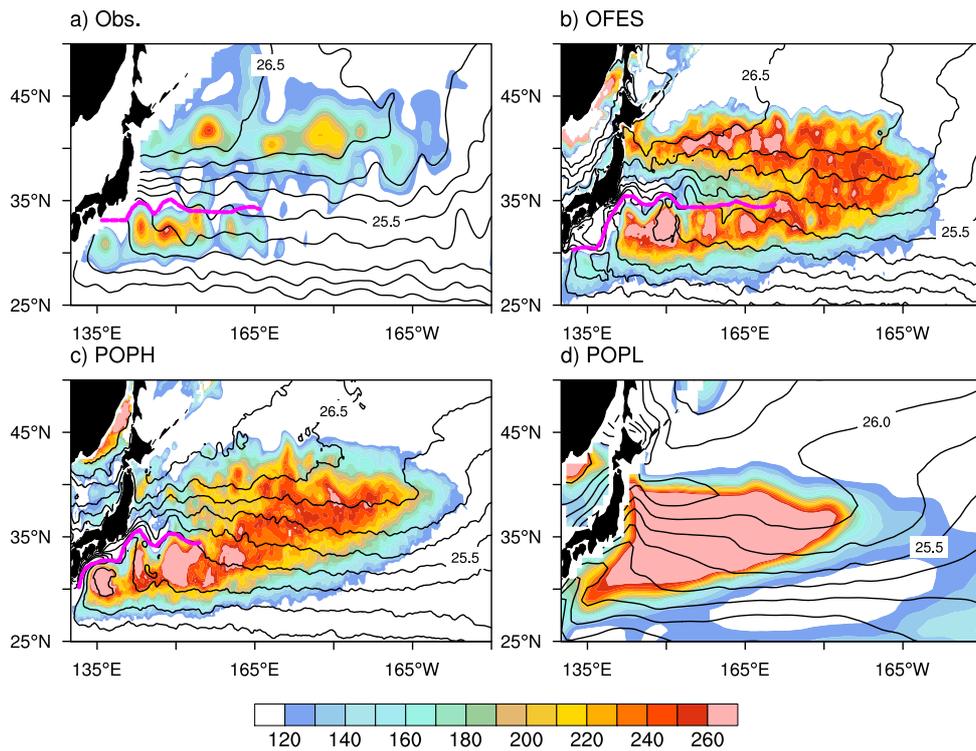
767 FIG. 1. Schematic illustrates the eddy subduction process, resulting from the
 768 correlation between the subduction/ obduction rate $S(t)$ and the outcrop area $\Delta A(t)$.
 769 The solid lines represent two isopycnals, σ_1 and σ_2 , defining the upper and lower
 770 bounds of a particular water mass. The outcrop area is relatively larger during the
 771 subduction period compared with the obduction period, leading to the net
 772 downward volume transport. The net subduction can remain finite even when the
 773 Eulerian-mean subduction rate \bar{S} is zero. Adapted from *Marshall* [1997].
 774



775

776 FIG. 2. Mean (contours in 10 *cm* intervals) and standard deviation (shaded in *cm*) of
 777 SSH from a) observations (Obs.), b) the Ocean Model for the Earth Simulator
 778 (OFES), c) the Parallel Ocean Program with High-resolution (POPH), and d) the
 779 Parallel Ocean Program with Low-resolution (POPL).

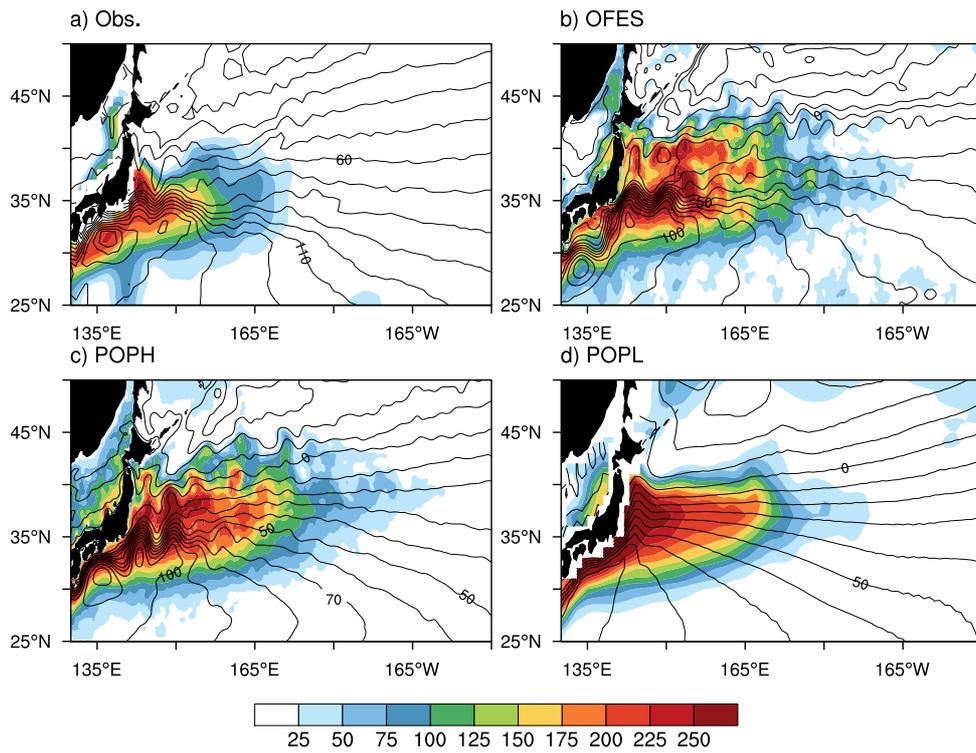
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782 FIG. 3. March mean MLD (color shade in m) and surface density (black contours in
 783 0.25 kg m^{-3} intervals) for a) Obs., b) OFES, c) POPH, and d) POPL. The KE jet is
 784 denoted by a thick magenta line for a), b) and c). Note that there is no magenta
 785 line in d), because POPL could not simulate the KE jet.

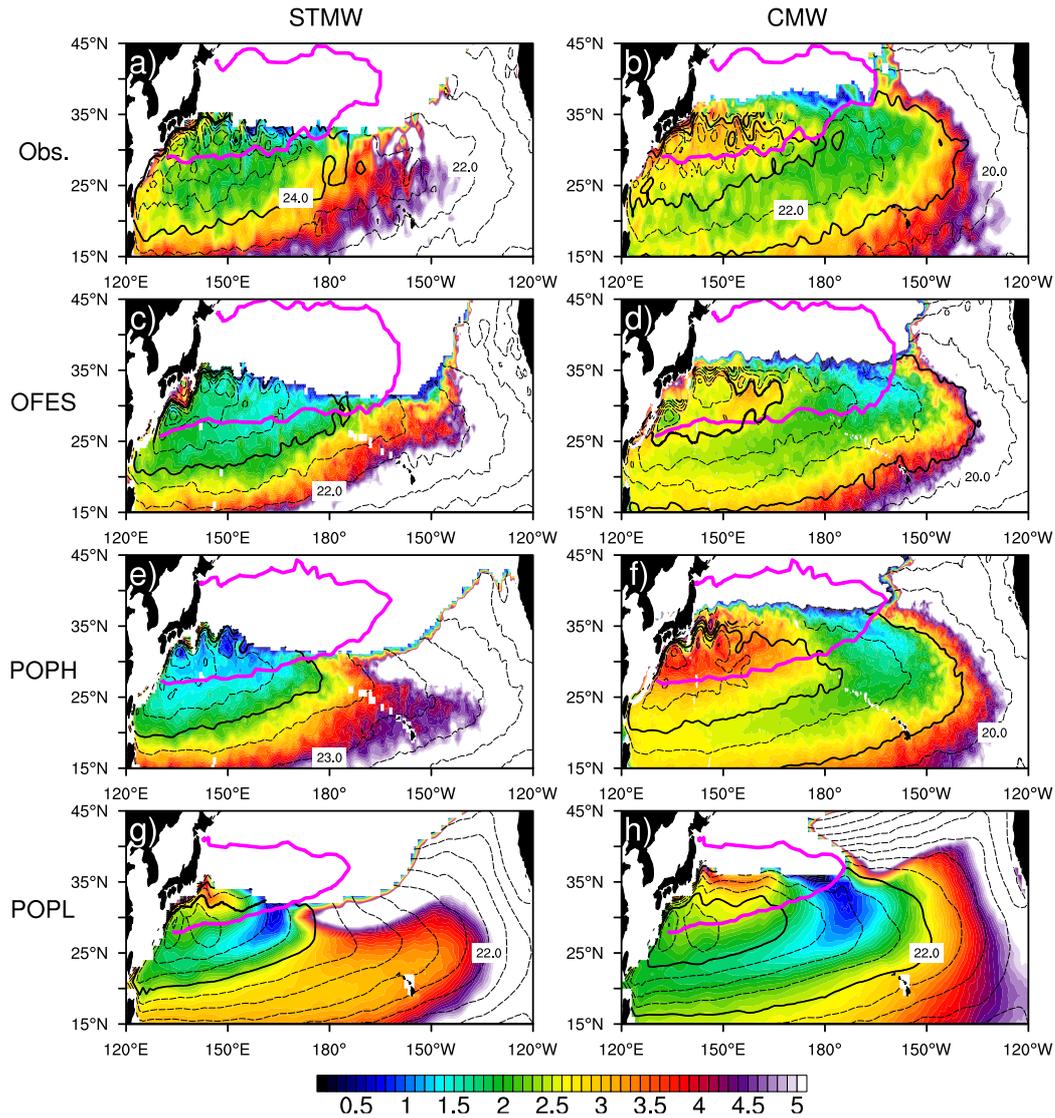
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788 FIG. 4. March mean net surface heat flux (shaded in $W m^{-2}$, positive upward) and
 789 mean SSH (black contours in 10 cm intervals) for a) Obs. from NCEP reanalysis,
 790 b) OFES, c) POPH, and d) POPL.

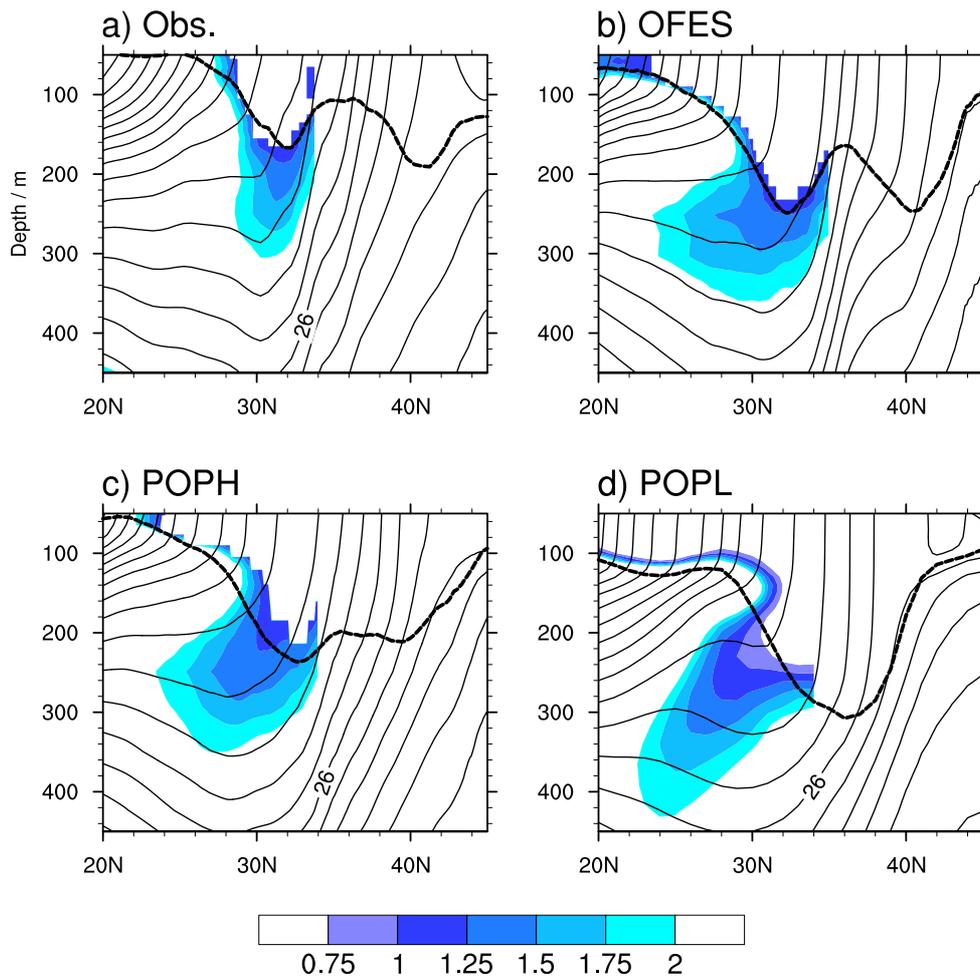
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793 FIG. 5. March mean PV (shaded in $10^{-10} m^{-1} s^{-1}$) and acceleration potential (black
 794 contours at $1.0 m^2 s^{-2}$ intervals) on the core layers (see Table 1) of STMW (left
 795 panels) and CMW (right panels). The top panels are for Obs., second from the top
 796 for OFES, third for POPH and the bottom for POPL. The 100 m (150 m) MLD
 797 contour is plotted in thick magenta line to mark the MLD front for Obs. (OFES,
 798 POPH and POPL).

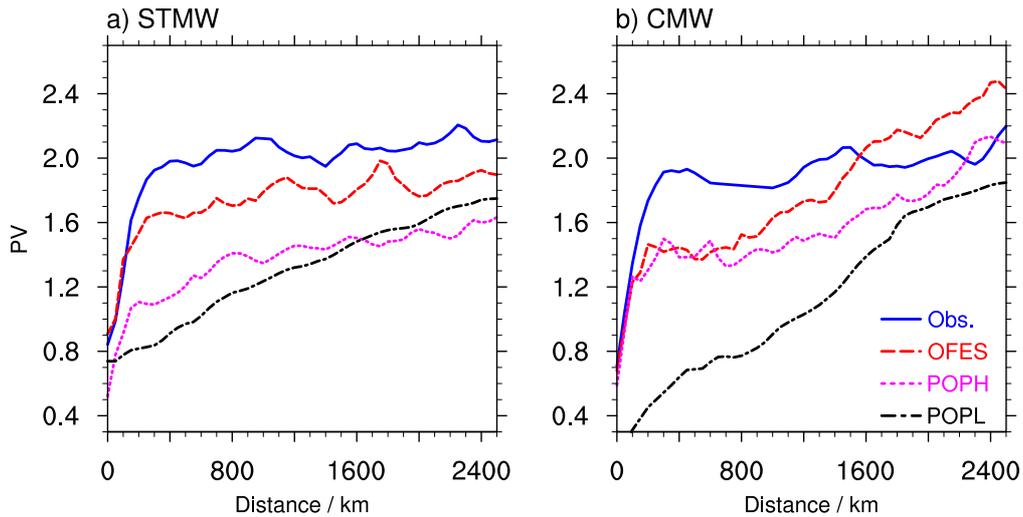
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801 FIG. 6. March zonal mean (140-180°E) sections of potential density (black contours
 802 in 0.2 kg m^{-3} intervals) and MLD (dashed black line) and PV (shaded in 10^{-10} m^{-1}
 803 s^{-1}) for a) Obs., b) OFES, c) POPH, and d) POPL.

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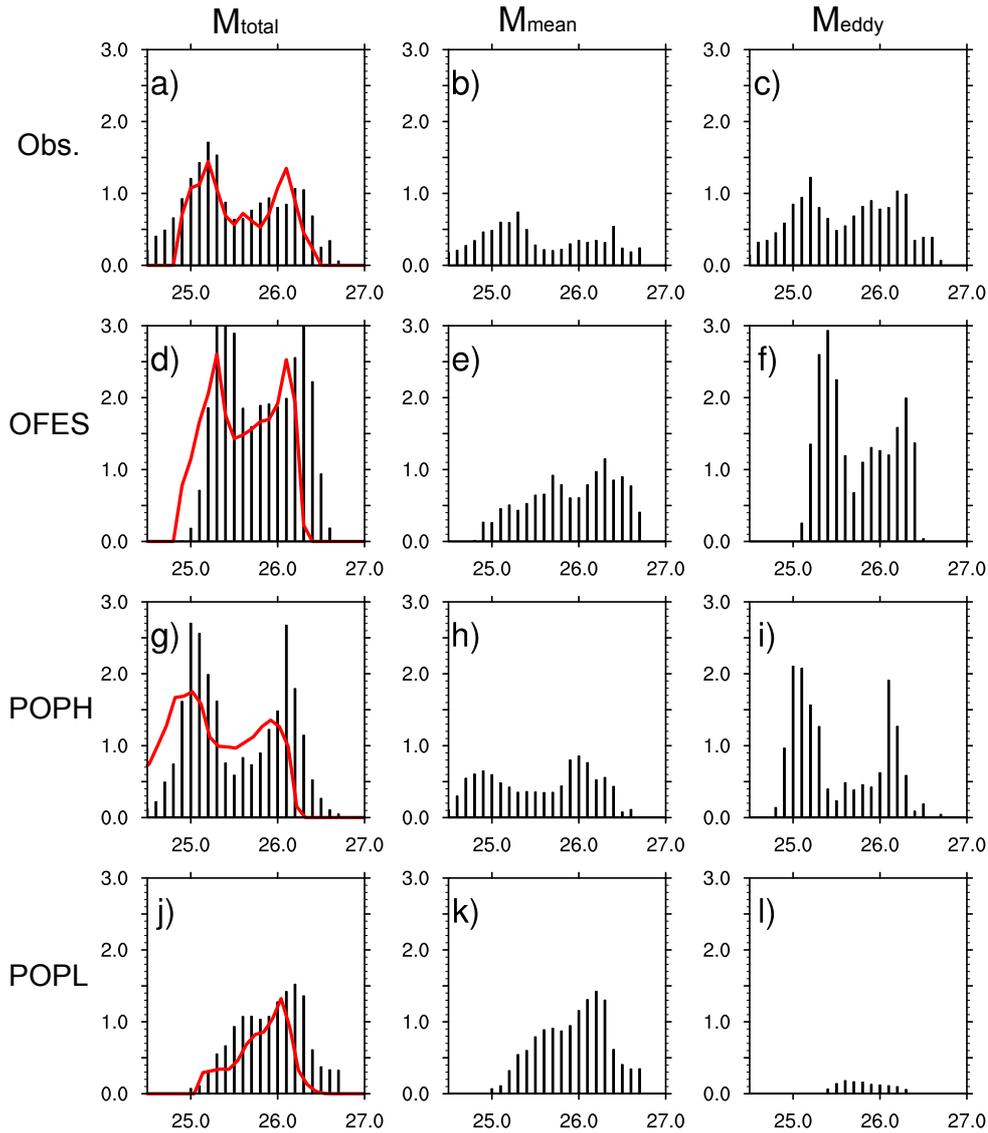


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806 FIG. 7. PV ($10^{-10} m^{-1} s^{-1}$) dissipation along mean trajectory of the low PV tongue for
 807 the core layers of a) STMW and b) CMW. The mean trajectory of the low PV
 808 tongue is defined as between the streamlines (solid lines in Fig. 5) which bound
 809 the low PV water. The path is different among models and the two kinds of mode
 810 waters. The x-axis is the distance from the mode water's subduction sites. The
 811 solid blue line is for Obs., the dashed red line is for OFES, the magenta dotted
 812 line for POPH, and the black dashed-dot line is for POPL (legend at the right-
 813 bottom).

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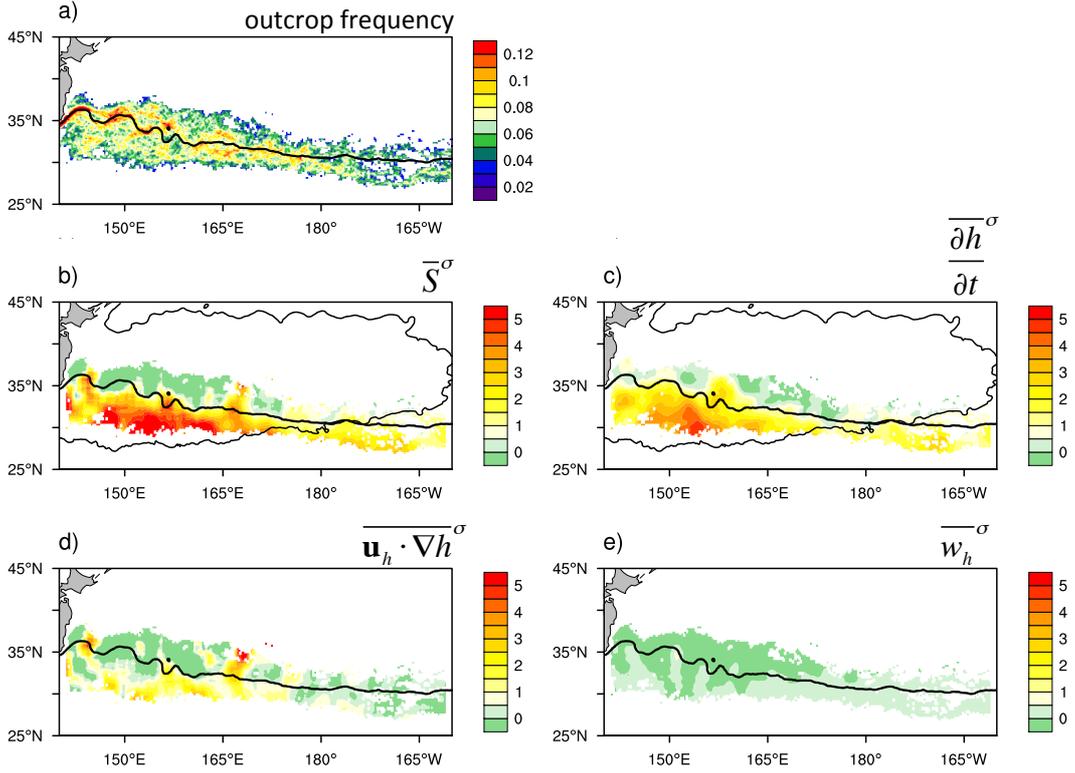
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817 FIG. 8 Total subduction (M_{total}) and its components (M_{mean} and M_{eddy}) for each density
 818 class (Sv in black bars). The calculation domain is 135°E - 155°W , 25° - 45°N . Left
 819 panels are for the total subduction (M_{total}), middle panels for the mean subduction
 820 (M_{mean}), and right panels for the eddy subduction (M_{eddy}). The upper panels are
 821 for Obs., second from the top for OFES, third for POPH and the bottom for
 822 POPL. The red curve on the left panels is the total volume ($10^{14} m^3$) of the low
 823 PV water ($< 1.5 \times 10^{-10} m^{-1} s^{-1}$) for each density class over the North Pacific
 824 (120°E - 140°W , 20 - 40°N).

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827 FIG. 9. a) Outcrop frequency of the $25.3 \pm 0.05 \sigma_\theta$ layer based on the OFES 3-day
 828 outputs in March from 1994 to 2007. The lower four panels are the isopycnal
 829 subduction rate and its components (positive downward, shaded in 10^{-5} m/s): b)

830 total subduction rate \overline{S}^σ , c) temporal induction ($\overline{\frac{\partial h^\sigma}{\partial t}}$), d) lateral induction

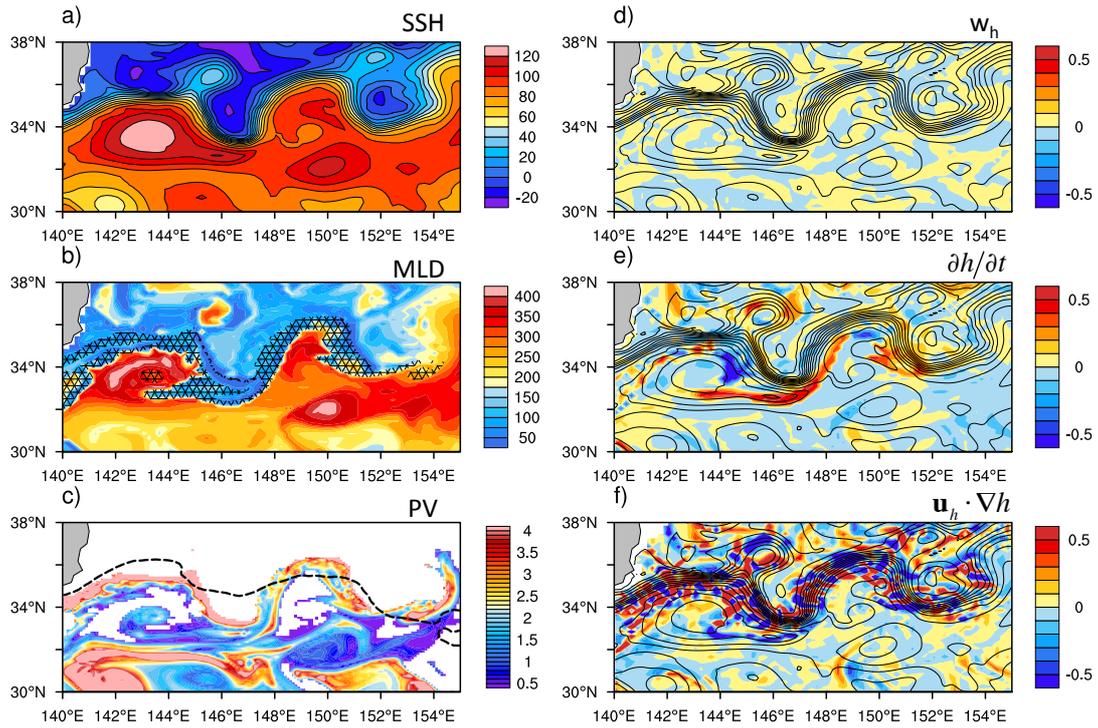
831 ($\overline{\mathbf{u}_h \cdot \nabla h^\sigma}$), and e) vertical pumping ($\overline{w_h^\sigma}$). The superscript σ indicates that it is

832 averaged for a given isopycnal layer, distinct from the Eulerian mean. The mean

833 $25.3 \sigma_\theta$ outcrop line is denoted in thick black solid line, and the March mean

834 MLD front in black solid line (b and c).

835



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837 FIG. 10. A snapshot from OFES on March 26, 2000. The left hand panels include a)

838 SSH (shaded in cm , the reds/blues are for the anticyclonic/cyclonic eddies,

839 respectively), b) MLD (shaded in m), with the outcrop area of $25.3 \pm 0.05 \sigma_\theta$

840 superimposed in black hatched patterns, and c) PV (shaded in $10^{-10} m^{-1} s^{-1}$) on

841 $25.3 \sigma_\theta$, with the March mean $25.3 \sigma_\theta$ outcrop line superimposed in black dashed

842 line. The right hand panels show the three components of the instantaneous

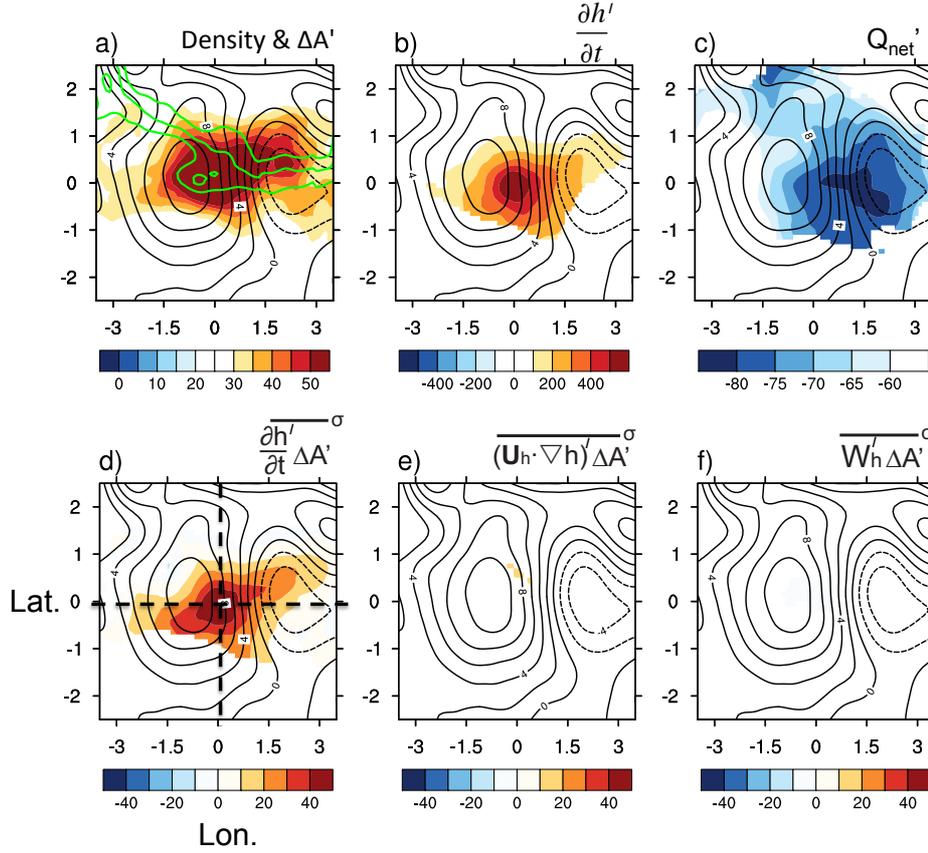
843 subduction rate (positive downward, shaded in $10^{-3} m/s$): d) vertical pumping w_h ,

844 e) temporal induction $\frac{\partial h}{\partial t}$, and f) lateral induction $\mathbf{u}_h \cdot \nabla h$. The SSH is

845 superimposed in d-f as black contours in $10 cm$ intervals.

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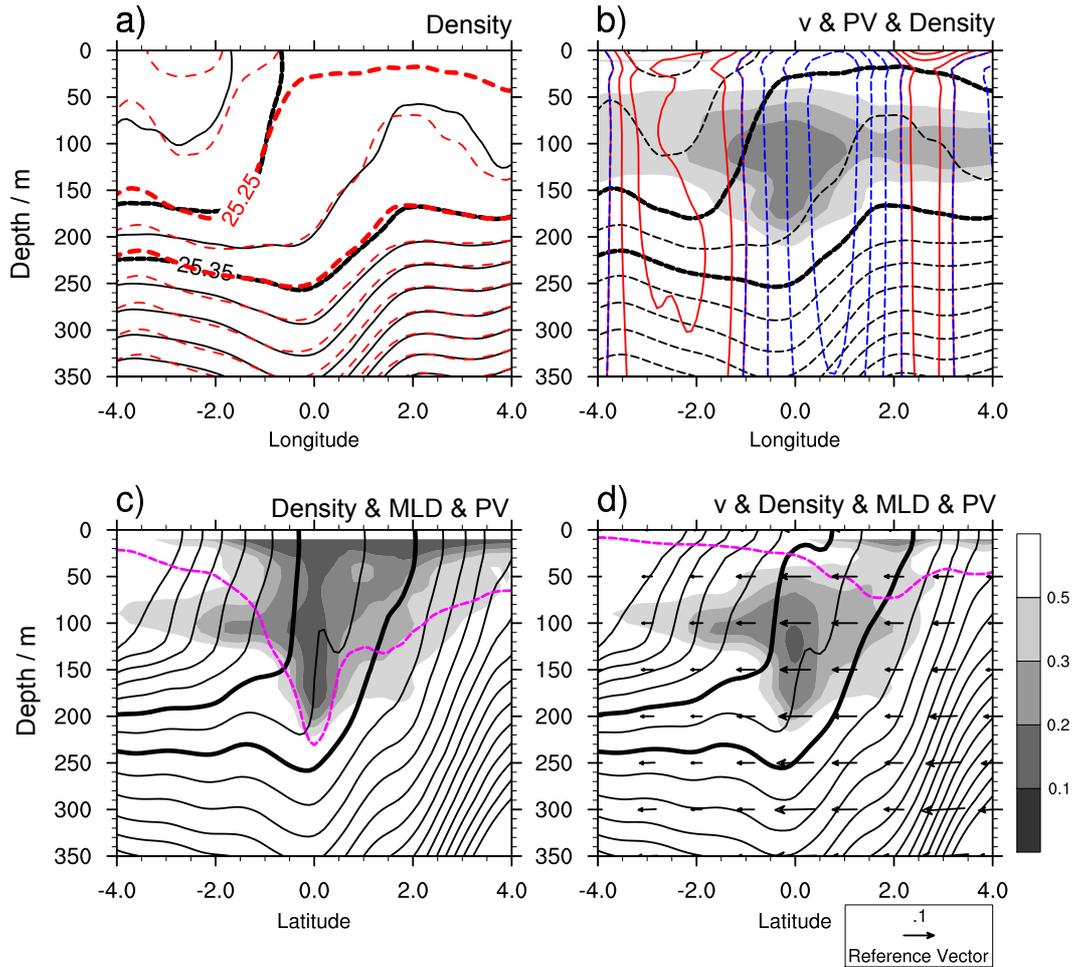


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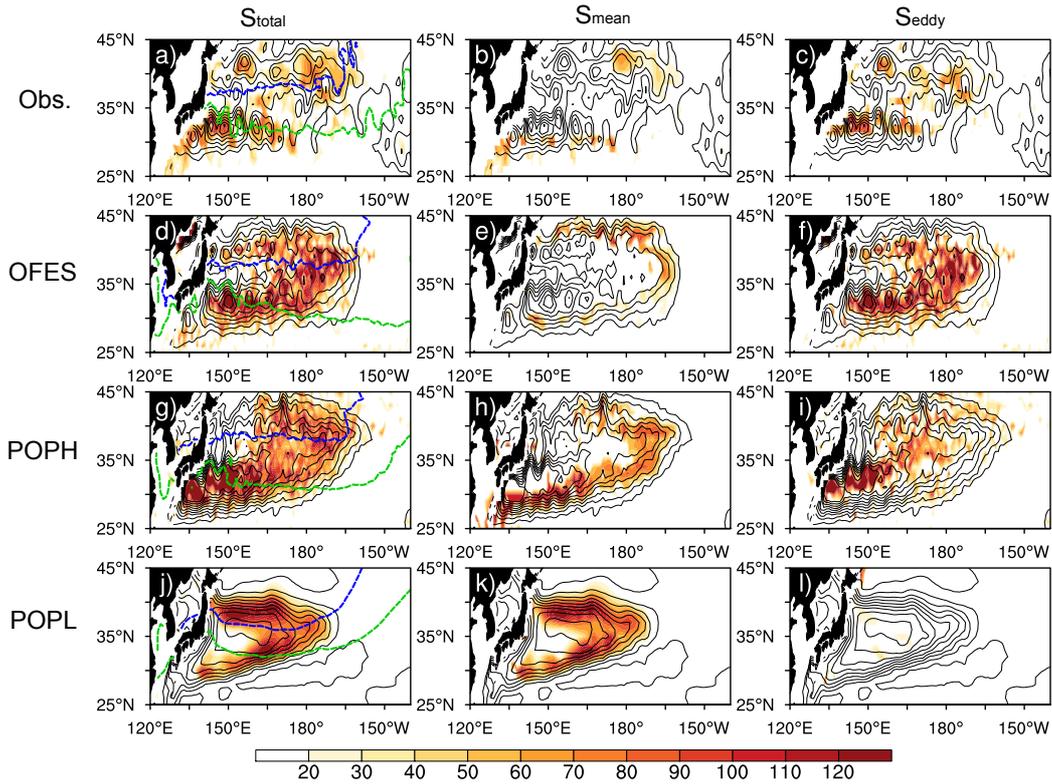
849 FIG. 11. Composite of eddy subduction process based on OFES 3-day outputs in
 850 March from 1994 to 2007. The center locations are where the maximum eddy
 851 subduction takes place. a) Outcrop area anomalies $\Delta A'$ (km^2 ; outcrop frequency
 852 of the $25.3 \pm 0.05 \sigma_\theta$ layer times the grid bin area), together with surface density
 853 contours of 25.25 , 25.30 and $25.35 \sigma_\theta$ in green; b) $\frac{\partial h'}{\partial t}$ (positive downward in 10^{-6}
 854 m/s intervals); c) net heat flux anomalies Q_{net}' (W/m^2 , negative downward). Three
 855 components of the isopycnal subduction (Subduction rate times outcrop area
 856 within $25.3 \pm 0.05 \sigma_\theta$; positive downward in $10^{-3} Sv$): d) MLD tendency term
 857 $\overline{\frac{\partial h'}{\partial t} \Delta A'}$; e) lateral induction $\overline{(\mathbf{u}_h \cdot \nabla h) \Delta A'}$; and f) vertical pumping $\overline{w'_h \Delta A'}$. Only
 858 values passing 95% confidence level are shown. The SSH anomalies (black
 859 contours at $2 cm$ intervals; negative values dashed) are superimposed. The thick
 860 black dashed lines in d) indicate the positions for the composite transections in
 861 Fig. 12.

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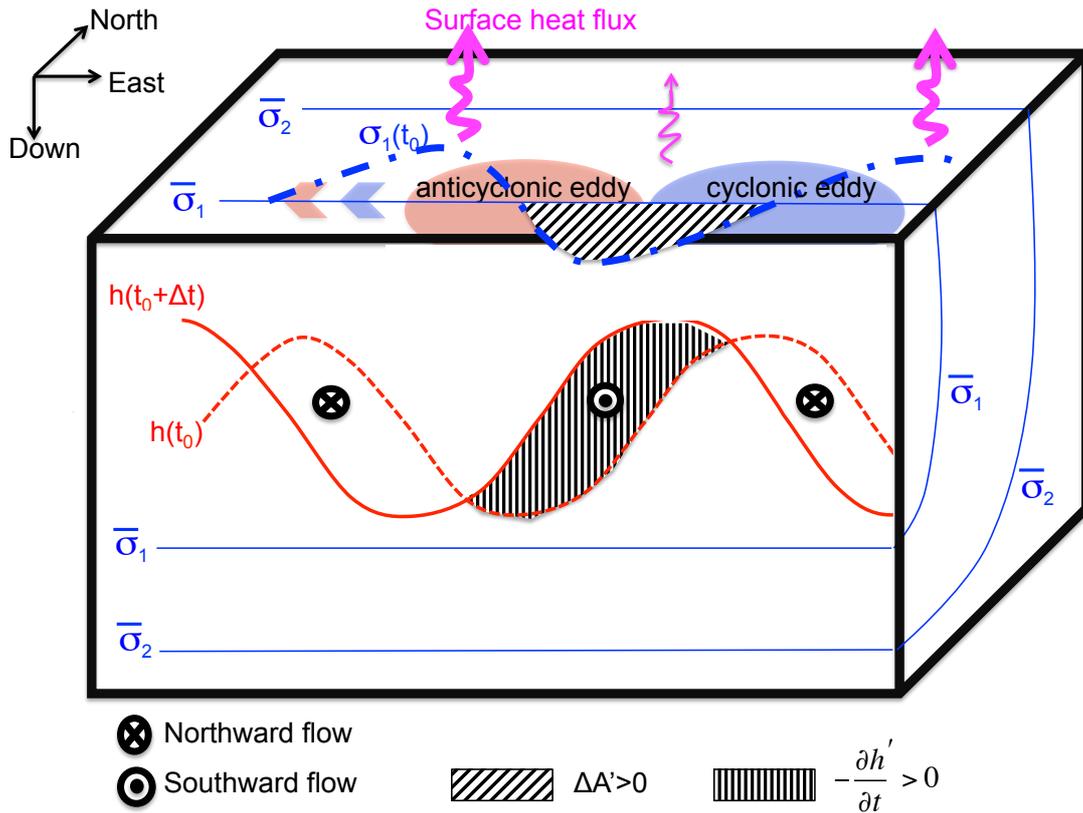
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 865 FIG. 12. Zonal (a-b) and meridional (c-d) vertical sections of the composite as shown
 866 in Fig. 11d. The zonal sections are for a) potential density (black contours at 0.05
 867 $kg\ m^{-3}$ intervals), with the 3-day lagged field superimposed in red dashed
 868 contours (the 25.25 and $25.35\ \sigma_\theta$ contour thickened); and b) low PV (shaded in
 869 $0.1 \times 10^{-10}\ m^{-1}\ s^{-1}$ intervals), meridional velocity (red contours for northward flow
 870 and blue dashed contours for southward flow), and potential density (the 25.25
 871 and $25.35\ \sigma_\theta$ contours thickened). The meridional sections are for c) low PV (gray
 872 shaded), MLD (magenta line), and potential density (black contours, the 25.25
 873 and $25.35\ \sigma_\theta$ contours are highlighted in thick line), and d) as in c) with the 3-day
 874 lagged values, the southward flow is shown by vectors at m/s .
 875



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877 FIG. 13. The annual subduction rate S_{total} (positive downward, shaded in m/yr) based
 878 on high-frequency model outputs (left panels) and its constituents of the mean
 879 subduction S_{mean} (middle panel) and eddy subduction S_{eddy} (right panel). The top
 880 panels are for Obs., second from the top for OFES, third for POPH and bottom
 881 for POPL. Only the positive values are plotted. The March climatology MLD
 882 ($>100 m$) is superimposed in black contours at $25 m$ intervals. The outcrops for
 883 the core density of STMW (green line) and CMW (blue line) are denoted on the
 884 left hand panels.

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886

887 FIG. 14. Schematic illustrates the eddy subduction process. With an anticyclonic eddy
 888 to the west and a cyclonic eddy to the east, the instantaneous outcrop line $\sigma_1(t_0)$
 889 meanders south, where the isopycnal is occasionally exposed to the atmosphere
 890 by eddies. This increasing outcrop area is collocated with the temporal shoaling
 891 of MLD from the red dashed line $h(t_0)$ to the solid red line $h(t_0 + \Delta t)$ as the eddy
 892 pair travels westward. The isopycnal σ_1 is soon to be sheltered from the surface
 893 as the MLD shoals in time, forming the mode water. The cross-correlation

894 between the temporal shoaling of the MLD, $\frac{\partial h'}{\partial t}$, and the increasing outcrop area,

895 $\Delta A'$, intensifies subduction. The southward eddy flow then carries the subducted
 896 water mass into the thermocline.

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