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2	Interdecadal Amplitude Modulation of El Nino/Southern Oscillation
3	and its Impacts on Tropical Pacific Decadal Variability
4	Tomomichi Ogata ^{1, #}
5	Shang-Ping Xie ^{1, 2, @}
6	Andrew Wittenberg ³
7	De-Zheng Sun ⁴
8	¹ International Pacific Research Center, Honolulu, Hawaii, USA
9	² Department of Meteorology, University of Hawaii at Manoa, Honolulu, Hawaii,
10	USA
11	³ Geophysical Fluid Dynamics Laboratory, Princeton, New Jersey, USA
12	⁴ University of Colorado, and NOAA/Earth System Research Laboratory, Boulder,
13	Colorado, USA
14	Submitted to J. Climate
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16	
17	(Present affiliation)
18	[#] Graduate School of Life and Environmental Sciences, University of Tsukuba, Tsukuba,
19	Japan
20	[@] Scripps Institution of Oceanography, University of California at San Diego, La Jolla,
21	California, USA
22	

23 Abstract

24The amplitude of El Nino/Southern Oscillation (ENSO) displays pronounced interdecadal modulations in observations. The mechanisms for the amplitude modulation 2526are investigated using a 2000-year pre-industrial control integration from the Geophysical 27Fluid Dynamics Laboratory Climate Model 2.1 (CM2.1). ENSO amplitude modulation is 28highly correlated with the second empirical orthogonal function (EOF) mode of tropical 29Pacific decadal variability (TPDV), which features equatorial zonal dipoles in sea surface 30 temperature (SST) and subsurface temperature along the thermocline. Experiments with 31an ocean general circulation model indicate that both interannual and decadal-scale wind variability are required to generate decadal-scale tropical Pacific temperature anomalies 32at the sea surface and along the thermocline. Even a purely interannual and sinusoidal 33 34 wind forcing can produce substantial decadal-scale effects in the equatorial Pacific, with SST cooling in the west, subsurface warming along the thermocline, and enhanced upper-35 ocean stratification in the east. A mechanism is proposed by which ENSO's residual 36 37effects could serve to alter subsequent ENSO stability, possibly contributing to long-38lasting epochs of extreme ENSO behavior via a coupled feedback with TPDV.

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41 **1. Introduction**

42El-Nino/Southern Oscillation (ENSO) is the dominant mode of interannual climate variability, affecting tropical atmospheric variability such as the Walker circulation 4344 (Walker 1923) and global climate through atmospheric teleconnections (Horel and Wallace 1981). Long-term changes in ENSO characteristics have received much attention 45(Fedorov and Philander 2001, Wittenberg 2002, Wang and An 2002, Wittenberg 2004, 46 Ashok et al. 2007, Kao and Yu 2009, Wittenberg 2009, Vecchi and Wittenberg 2010, 47Collins et al. 2010, McPhaden et al. 2011). McPhaden et al. (2011) reported that the 4849recent increased occurrence of central Pacific El Nino is associated with anomalously shoaled (deepened) thermocline depth in the eastern (western) equatorial Pacific. 50

In observations, ENSO amplitude has changed on interdecadal timescales (Li et al. 512011, Chowdary et al. 2012) and such interdecadal ENSO modulation affects the global 52climate. Recent studies show that the interdecadal modulations of ENSO flavors and 53amplitude affect its global teleconnections (Ashok et al. 2007, Kug et al. 2010, Xie et al. 542010, Chowdary et al. 2012). For example, Xie et al. (2010) reported that interannual 55variability of the summer subtropical high in the northwestern Pacific is generated by 5657ENSO through the Indian Ocean "capacitor" effect after the late 1970's regime shift, but such a teleconnection mechanism does not work before the regime shift when ENSO 58amplitude is weak. In a long (1000 years) integration with a coupled general circulation 5960 model (CGCM), Rodgers et al. (2004) pointed out that the ENSO amplitude significantly fluctuates on interdecadal timescales. They found that the interdecadal ENSO variability 61co-varies with the background mean state referred to as the tropical Pacific decadal 6263 variability (TPDV). The time series of the TPDV defined as the first EOF mode of the 1164 year low-passed variability of 20°C isotherm depth along the equatorial Pacific and 65resembles the regressed pattern based on an ENSO variance index. Such TPDV mode displays significant SST variability with a zonal dipole pattern along the equator. This 66 67 suggests that the thermocline feedback is involved in the TPDV mode. Subsequent 68 studies using different CGCMs report similar results (e.g. Timmerman 2003, Wittenberg 69 2009, Choi et al. 2009), though the ability of CGCMs to capture the processes relevant to 70ENSO is still evolving (Guilyardi et al. 2009, 2012). More recent studies derive similar 71results using the second EOF mode of decadal SST variability in the tropical Pacific (Sun 72and Yu 2009, Yu and Kim 2011).

73Studies of relationships between the mean state and ENSO amplitude modulation can 74be classified to two types: those examining the response of ENSO amplitude to various 75mean state changes under stochastic forcing in a hierarchy of coupled models (e.g. Kirtman and Schopf 1998, Wittenberg 2002, Fang et al. 2008, Burgman et al. 2008, 7677Anderson et al. 2009, DiNezio et al. 2012), and those exploring the interactive 78relationship between ENSO amplitude and TPDV (e.g. Rodgers et al. 2004, Schopf and 79Burgman 2006, Wittenberg 2009, Choi et al. 2009, Watanabe and Wittenberg 2012, 80 Watanabe et al. 2012). In the latter group, the nonlinearity of ENSO is deemed a major driver of TPDV. In particular, the asymmetry between strong El-Nino and weak La-Nina 81 82 increases during strong ENSO epochs and imprints on the background mean state as a 83 residual mean. More recently, Liang et al. (2012) shows the ENSO rectification on mean state using a recharge-discharge model. Such ENSO rectification is consistent with 84 85 previous studies using OGCM (Sun and Zhang 2006, Sun 2010), suggesting that such a rectification mechanism may be applied to the TPDV formation. 86

The interactive dynamics linking the TPDV and ENSO amplitude modulation, especially how ENSO amplitude modulation affects TPDV, is not completely understood. Given the similarity between the residual mean of composite El-Nino/La-Nina events and the TPDV, previous studies have argued for ENSO-driven SST skewness imprinting on the mean state (e.g. Rodgers et al. 2004, Wittenberg et al. 2009, Choi et al. 2009), but the formation mechanisms for the TPDV have not been quantified in ocean and atmospheric GCMs.

The present study investigates the effects of ENSO variance on decadal variations in 94 95 the mean state, based on a 2000-year long integration with a state-of-the-art coupled GCM (Wittenberg 2009). As there are numerous studies of how mean state changes affect 96 97 ENSO, here we focus on how ENSO variance modulations rectify onto the mean state. 98 We decompose the atmospheric variability in the coupled GCM into interannual and longer components and force an ocean GCM with these components of wind forcing 99 100 together and separately. Our results show that rectified effects of the interannual wind 101 forcing contribute substantially to decadal variations in the ocean mean state. Specifically, 102elevated ENSO variance strengthens the upper-ocean stratification in isothermal 103 coordinates and hence potentially thermocline feedback. Similar results are obtained 104 using an idealized wind cycle that is sinusoidal in time, suggesting the importance of ENSO wind variance, instead of the skewness, for the rectification on the mean state. 105

The rest of the paper is organized as follows. Section 2 describes datasets used in this study. Section 3 shows that the interdecadal ENSO modulation is related to the TPDV in a long CGCM simulation. Section 4 presents the importance of ENSO forcing and its asymmetric response for the TPDV formation using OGCM sensitivity experiments.

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Section 5 examines the mean state change of thermocline shape in the eastern equatorialPacific. Section 6 is a summary with discussions.

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113 **2. Data and Models**

This study analyzes observational data and simulations from coupled and ocean GCMs. For observations, we use the Extended Reconstructed SST (ERSST) product (Smith et al. 2008) for 1948-2009 on a 2.5 degree grid, and 10m wind from the National Center for Environmental Prediction and National Center for Atmospheric Research (NCEP/NCAR) reanalysis (Kalnay et al. 1996) for 1948-2009 on a T63 (about 1.875 degree) Gaussian grid.

120A 2000-year integration with the Geophysical Fluid Dynamics Laboratory Climate 121 Model version 2.1 (GFDL-CM2.1; Delworth et al. 2006; Wittenberg et al. 2006; 122Wittenberg 2009) is analyzed. CM2.1 consists of ocean, atmosphere, land components 123and their coupler. The oceanic component is based on the Modular Ocean Model version 1244 (MOM4) code (Griffies et al. 2005; Gnanadesikan et al. 2006). The horizontal resolution is 1° in longitude and 1° in latitude with enhanced tropical resolution (1/3° 125126within 10° of the equator). There are 50 vertical levels with a constant spacing of 10m in 127the top 220m. Isopycnal mixing of tracers and layer thickness is based on the formulation by Gent and McWilliams (1990), Griffies et al. (1998), and Griffies (1998). The mixed-128129layer is represented by the K-profile parameterization (KPP) vertical mixing (Large et al. 1301994). The atmospheric component is the AM2.1 atmosphere model (GFDL Global Atmospheric Model Development Team: GAMDT 2004). It consists of a finite volume 131dynamical core (Lin 2004) with 24 vertical levels, 2° latitude by 2.5° longitude grid 132

133 spacing, and a relaxed Arakawa-Schubert convection scheme (Moorthi and Suarez 1992). 134The coupled simulation lasts for 2220 years with constant pre-industrial (year 1860) 135anthropogenic forcing. The last 2000 years of output is used for analysis. The atmosphere, 136 ocean, land and sea ice exchange fluxes every two hours and no flux adjustments are 137employed. Figure 1 compares El Niño composites of SST and surface wind anomalies 138between CM2.1 (246 events) and ERSST/NCEP observations (19 events). Composite events are picked up when Nino-3 SSTA during December-February exceeds 1σ . CM2.1 139140 captures observed seasonal evolution of ENSO, which develops in boreal fall to summer, matures in fall to winter and rapidly decays in spring. The simulation overestimates 141142ENSO amplitude compared to observations. CM2.1 simulates a realistic mean state, 143ENSO, and its seasonality (e.g. Wittenberg et al. 2006, Wittenberg 2009, Kug et al. 2010). 144 The long-term simulation improves statistical significance of ENSO and TPDV properties. 145In order to investigate the role of ocean dynamics, we conduct sensitivity experiments 146 with the Modular Ocean Model version 3 (MOM3) (Pacanowski and Griffies 1999). The model covers a near global domain from 65°S to 65°N. The model resolution is 2.5 147 degree in zonal, 0.5 degree from 15°S to 15°N with a gradual increase to 2 degree in 148149 meridional, and 25 levels in vertical (10 m intervals in the upper 100 m). The vertical 150mixing parameterization of Pacanowski and Philander (1981) is adopted. Surface 151boundary conditions are calculated from the bulk formula of Rosati and Miyakoda (1988) 152except that surface air temperature is assumed as SST-2°C. This model has been used in several studies, capable of realistic simulations in the tropics (Tozuka et al. 2010, 153154Morioka et al. 2011). The OGCM is spun up for 28 years under the monthly CM2.1 surface climatology. Appendix A compares MOM3 and MOM4 simulations. The results 155

156 presented here are qualitatively insensitive to the choice of ocean GCMs.

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158 **3. Interdecadal ENSO modulation and TPDV**

Figure 2a shows the 20-year running standard deviation of the high-passed Nino-3 159160 variability for the last 1000 years in CM2.1. In this study, ENSO is represented by Dec-161 Feb Nino-3 SST (210-260°E, 5°S-5°N), filtered with a 10-year high-pass filter. The ENSO amplitude fluctuates on interdecadal timescales, ranging from 0.6 to 2.0 °C. The 162163 interdecadal ENSO amplitude modulation is associated with the background mean state change. Figure 3 shows the regression pattern of 20 year low-passed ENSO variance onto 164the 20-year low-passed SST and SSH anomaly fields. There is a significant zonal dipole 165pattern over the tropical Pacific; the eastern (western) Pacific is anomalously cool (warm) 166 167 during strong ENSO epochs. This interdecadal SST variability is associated with the 168 thermocline/SSH variability with a flattened thermocline during strong ENSO periods. 169 Previous studies (e.g. Jin 1998, Rodgers et al. 2004, Choi et al. 2009) also reported that 170 the cold-tongue warming, the relaxed easterly winds and a flattened thermocline intensify 171 the ENSO amplitude and favor longer ENSO periods.

These interdecadal ENSO background state changes represent a major mode of TPDV. To obtain the TPDV modes, the empirical orthogonal function (EOF) analysis is performed for the 20-year low-passed SST field over the tropical Pacific (120-280°E, 20°S-20°N). The first TPDV mode in Figure 4a shows a basin-wide pattern over the tropical Pacific. The spatial pattern of surface heat flux anomalies and their relationship to SST are less coherent compared to the second TPDV mode over the tropical Pacific, suggesting that the first TPDV mode is generated by the complicated ocean and

179atmospheric dynamics (Fig. 4a). The second TPDV mode features a zonal dipole pattern 180 over the tropical Pacific warming in the east and cooling in the west during the positive 181 TPDV phase. It is associated with large thermocline variability (Fig. 4b). The regressed 182 SSH field shows a significant zonal dipole pattern. At the positive TPDV phase, anomalous westerly winds blow over the central equatorial Pacific and the SSH dipole 183 pattern represents a flattened thermocline. Surface heat flux anomaly tends to cool 184 (warm) the ocean in the region of positive (negative) SST anomalies. This suggests that 185the subsurface oceanic processes are the main driver in the second TPDV mode. Hereafter, 186 187 TPDV simply refers to the second mode for brevity.

The spatial similarity between mean state variability associated with the ENSO 188 amplitude modulation and the TPDV (Figs. 3 and Fig. 4b) suggests a close relationship 189 190 between them. Figure 2b shows the time series of the second TPDV mode for the last 191 1000 years in CM2.1. Correlation with the ENSO amplitude time series is obvious. Correlation coefficient between these two time series is 0.84, above 95% significance 192193level. Such similarity between the ENSO amplitude and the TPDV can be seen in other CGCMs (e.g. Rodgers et al. 2004, Choi et al. 2009), but these previous studies 194 emphasized the importance of ENSO asymmetry. In CM2.1, correlation coefficient 195196 between 20-year sliding Nino-3 skewness and standard deviation is much lower at 0.50, but remains above 95% significance level. 197

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4. Contribution of ENSO for TPDV formation

200 a. OGCM experiments with CM2.1 forcing

201 To quantify the contribution of intraseasonal variability (ISV), ENSO and decadal

202variability (DV) forcing, OGCM experiments with "realistic" CM2.1 forcing are 203executed. We choose 5 strong ENSO/positive TPDV epochs (year 901-920, 991-1010, 204 1641-1660, 1731-1750 and 1861-1880) and 5 weak ENSO/negative TPDV epochs (year 205351-370, 1161-1180, 1681-1700, 1811-1830 and 1961-1980). Epochs are chosen where 206both time series of the second TPDV and the 20-year running standard deviation of Nino-207 3 SSTA exceeds $\pm 1 \sigma$. Surface boundary conditions are calculated from the bulk formula 208of Rosati and Miyakoda (1988) using climatological surface atmospheric field except that 209surface air temperature is assumed as SST-2°C. From the CM2.1 simulation, wind stress 210forcing for each 20 years long segment is decomposed into three components: ISV (3-211month high-pass), ENSO (3-month to 10-year band-pass anomaly) and DV (10-year low-212pass anomaly). Then three OGCM runs are performed: ISV+ENSO+DV, ENSO+DV and 213DV runs. The monthly climatology is included. Contribution of ISV, ENSO and DV to 214the TPDV is quantified by comparing the difference in each run between "strong ENSO/positive TPDV" and "weak ENSO/negative TPDV" epochs (hereafter we define it 215216 as "anomaly"). These are five 20-year epochs for each phase, and composite difference 217fields between the positive and negative phases are presented.

Figure 5 shows the SSH anomalies in DV+ENSO+ISV and DV runs. SSH response in the DV+ENSO+ISV run has a similar dipole pattern, negative (positive) in the western (eastern) Pacific. In the DV run, on the other hand, the east-west SSH contrast weakens, indicating a significant direct contribution of ENSO to the SSH mean state as represented by the difference between DV+ENSO+ISV and DV runs (Fig. 5c). The ENSO effect is more clearly seen in the thermal structure on the equator. Figure 6

shows the temperature response on the equator in OGCM runs. Anomalies in the

DV+ENSO+ISV run (Fig. 6b) show a dipole pattern with cooling (warming) in the west (east), similar to the second TPDV mode (Fig. 6a). In contrast, the DV only forcing could not reproduce important features of the second TPDV mode (Fig. 6c), including the mixed layer cooling in the west and the thermocline warming in the east.

229In the OGCM experiments, the direct ENSO contribution can be evaluated by taking a 230difference between the DV+ENSO and DV forcing response. Figs. 5c and 6d show the 231contribution of ENSO forcing to the TPDV. In SSH, ENSO forcing enhances the zonal 232dipole pattern by 2 cm in east-west difference (Fig. 5c). Thermal structure on the equator 233(Fig. 6d) exhibits the warming along the 20°C isotherm slope and surface cooling in the 234western basin (140-200°E). The ENSO rectification effect is similar to the result of Sun (2010, Plate 5) based on a different OGCM. With a relaxation of the east-west 235236thermocline slope, Bjerknes feedback may generate westerly wind anomaly in response 237to the rectified SST (Liang et al. 2012).

Compared to ENSO forcing, the contribution of ISV forcing to the TPDV is weak 238239(figure not shown). The ISV effect is not so different between strong ENSO/positive TPDV and weak ENSO/negative TPDV epochs, in deviation from previous studies about 240241the ISV effect on the mean state using OGCM (e.g. Kessler and Kleeman 2000, Suzuki 242and Takeuchi 2000). High correlation (~0.48, above 95% significance level) between ISV 243variance and Nino-3 on interannual timescales is consistent with previous studies of state 244dependent subseasonal noise on ENSO (Gebbie et al. 2007), while correlation between ISV variance and Nino-3 variance on decadal timescales drops to 0.30. This may imply 245246that the impact of subseasonal noise on the TPDV is less important than that to the ENSO. 247It should be noted that monthly mean wind forcing we use smooths out such subseasonal

variability and so its effect in our OGCM experiments may be underestimated.

249 b. OGCM experiments with periodic forcing

250The previous section showed that ENSO forcing is important to generate the TPDV. To 251illustrate the importance of ENSO forcing, OGCM experiments are also performed by using sinusoidal wind forcing with a 2-year periodicity (2yrENSO run). The amplitude of 252the wind stress anomalies (0.2 dyn/cm^2) is comparable to the 20-yr sliding standard 253deviation of interannual variability (IAV: 10-yr high-passed zonal wind stress) over the 254central Pacific (5°S-5°N, 160-210°E) in GFDL-CM2.1. The spatial pattern of wind stress 255256anomalies is assumed as a Gaussian-shape structure centered on the equator as shown in 257Figure 7. The structure function is expressed as:

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$$f(x, y, t) = \tau_0 \times \exp\left(-\left(\frac{x - x_0}{\Delta x}\right)^2 - \left(\frac{y}{\Delta y}\right)^2\right) \times \cos\left(2\pi \frac{t}{24}\right) \quad (1),$$

where $\tau_{0}=0.2$ dyn/cm², $\Delta x=30^{\circ}$, $\Delta y=10^{\circ}$. *x*, *y*, *t* are longitude, latitude and month. Following observations and simulated results, the peak of westerly variability is set in January. In the 2yrENSO run, OGCM is integrated for 20 years with the periodic wind stress anomalies. The last two cycles (4 years) are used for analysis by comparing the difference between the 2yrENSO run and climatological run (CTRL run). We note that the wind stress anomalies are symmetric in time between the westerly and easterly phases. Wind speed anomalies are not applied in surface turbulent heat flux calculations.

Figure 8 shows the SSH and temperature difference between the 2yrENSO and CTRL runs. SSH and temperature pattern in the idealized experiment is quite similar to the experiment using the CM2.1 forcing (Fig. 5c and 6d). In particular, the zonal dipole SSH response, the surface cooling on the edge of the cold tongue and warming along the 20°C isotherm are common between the CM2.1 and the idealized forcing runs. This idealized experiment illustrates that the sinusoidal forcing without asymmetry can generate the mean state change. We note that Figs. 6d and 8b show some similarity with results from an idealized 2-dimensional warm-pool displacement by Schopf and Burgman (2006). The similarity suggests that the adiabatic effect is important to form the ENSO rectification despite that their model is highly idealized (e.g., the warm water volume is not conservative on the equator).

277Next we investigate the cause of the mean state changes. First the cooling on the west 278edge of the cold tongue over 140-200°E is investigated. Figure 9 compares the near-279surface temperature and current anomalies at La-Nina and El-Nino phases. In addition to the significant response in the cold tongue around 80-140°W, significant temperature 280281response exists on the edge of the cold tongue in phase. Westward (eastward) current anomalies occur during La-Nina (El-Nino). Such anomalous temperature and current 282283responses form a net cooling effect through the nonlinear zonal advection $(-u'^* dT'/dx)$. 284As a result, a mean cooling response is generated by the zonal advection on the west edge 285of the cold tongue.

The net warming along the climatological depth of the 20°C isotherm forms by different mechanisms. Figure 10 shows the temperature response on the equator during the cold to warm phase transition (left) and the warm to cold phase transition (right). In the left panels, warm subsurface temperature anomalies are generated in the western Pacific at La-Nina peak and shows an apparent eastward propagation along the 20°C isotherm with the relaxation of the easterly wind anomalies. In the right panels, cold temperature anomalies are generated in the western Pacific at El-Nino peak and then propagate eastward. The cold temperature anomalies propagate above the climatological 20°C isotherm and seem to be damped in the propagation. The eastward propagating warm signals along 20°C isotherm (1.5-2°C) on the left panels seem stronger than the cold signals on the right panels (1-1.5°C). The asymmetry of the propagation depth for cold and warm signals affect the strength of the signals, and the asymmetric signals are projected onto the mean state along the 20°C isotherm.

To illustrate that the propagation depth affects the strength of temperature signals, 299300 Figure 11 shows the longitude-depth sections of vertical temperature gradient (dT/dz) and 301Richardson number, important parameters for vertical mixing. Large (small) dT/dz and 302 Richardson number indicate small (large) vertical mixing coefficient. Large dT/dz and 303 Richardson number spread along the 20°C isotherm and decrease sharply above the thermocline. The warm signals in Fig. 10 are kept strong and propagate along the 20°C 304 305 isotherm where the vertical mixing is inactive while the cold signals dissipate rapidly and 306 propagate above 20°C isotherm where the vertical mixing is active. Appendix B presents heat budget, illustrating roles of advection and vertical mixing. 307

308 We recognize that wind anomalies are not symmetric between El-Nino and La-Nina, 309 both in magnitude and duration (Ohba and Ueda 2009, Okumura et al. 2011, Ohba 2012). 310 Our idealized wind forcing is designed to illustrate the variance effect, instead of ENSO 311 asymmetry effect emphasized in previous studies. We have also run an OGCM 312experiment with 4-year periodic forcing. The result is qualitatively similar but the 313 rectification on the mean is slightly weaker than in the 2-year case at the same forcing 314magnitude. This implies that the basin-wide oceanic response in the tropical Pacific is 315somewhat sensitive to the forcing period. Other factors such as tropical instability waves,

316 meridional heat transport by Ekman divergence, and surface heat flux also may be 317 important in the real world. While TIWs are weak in CM2.1, the heat convergence by 318 TIWs may contribute to the asymmetry of ENSO (An 2008, An 2009). Sun et al. (2012) 319 reports a TIW effect on ENSO rectification using an OGCM, showing that the TIW-320 induced equatorial cooling improves the consistency with observations. ENSO 321 asymmetry may be another factor for the rectification. During epochs of large (small) 322ENSO amplitude El-Nino to La-Nina transitions take place fast (slow) in both observations and CM2.1 (Ohba 2012). Strong El-Nino (weak La-Nina) during large 323 324ENSO amplitude epochs may strengthen the warming signal near the thermocline. The 325similarity in ENSO rectification between runs with realistic CM2.1 forcing (including asymmetry) and idealized 2-yr ENSO forcing (without asymmetry) suggests the 326 327 importance of ENSO variance effect. However this does not rule out the possibility of ENSO asymmetry effect. 328

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330 **5. ENSO rectification on stratification**

Figure 12 shows the response of temperature to periodic vertical displacements of the 331332thermocline that maintains the same vertical temperature profile. This results in an Eulerian mean dipole in the vertical, warming in the lower and cooling in the upper 333 thermocline, smoothing out the Eulerian time-mean temperature gradient (Schopf and 334335Burgman 2006). By definition, the adiabatic displacements of the thermocline do not alter stratification on isothermal coordinates. The effect of such adiabatic thermocline 336 337 displacements on thermocline feedback and ENSO growth is unclear. Strong mixing in 338 the mixed layer destroys the negative pole of the Eulerian mean temperature dipole,

leaving behind the warming in the lower thermocline as is observed in CM2.1 and OGCM experiments. To avoid the smoothing of the thermocline in the Eulerian mean, we propose an isothermal-coordinate perspective. Time-mean dT/dz in Fig. 12, in isothermal coordinates, is unchanged from the snapshot, while that on z coordinates is different from the snapshot. This gives a reason why a view from isothermal coordinates is more suitable to capture the "mean" thermocline shape.

Figure 13 shows the dT/dz difference in isothermal coordinates in CM2.1 between 345"strong ENSO/positive TPDV" and "weak ENSO/negative TPDV" epochs at 0°N110°W. 346 First the monthly snapshot of dT/dz in isothermal coordinates is calculated, and then its 347 348 climatology is derived. There is a significant difference in dT/dz in the upper layer (above 16°C) for October-January. The stratification (dT/dz) during "strong ENSO/positive 349 TPDV" epochs is stronger than during "weak ENSO/negative TPDV" epochs by about 350 0.02° C/m. dT/dz is generally similar during other seasons. A stronger dT/dz during 351352"strong ENSO/positive TPDV" epochs may lead to a more effective thermocline 353 feedback and ENSO activity in the cold tongue region.

Similar changes of the thermocline shape can be seen in the idealized experiment. Figure 14 shows the dT/dz difference in isothermal coordinates between 2yrENSO and CTRL runs at 0°N110°W. Similar to Fig. 13, there is a significant difference in dT/dz in the upper layer (above 16-18°C) during boreal fall to spring. dT/dz in the 2yrENSO run is twice as large as in the CTRL run during this period, suggesting that the ENSO forcing can intensify dT/dz near the surface in the cold tongue region.

360 According to the linear stability analysis (Fedorov and Philander 2002), vertical 361 advection is important for thermocline feedback. The vertical advection term in the mixed

362 layer temperature equation may be represented as:

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$$-\overline{w}\frac{T'-T'_{sub}}{H_{mix}} \approx -\frac{\overline{w}}{H_{mix}}\left(T'-\frac{d\overline{T}}{dz}h'\right) = -\mu T' + \kappa h' \quad (2),$$

where \overline{W} the mean vertical velocity, H_{mix} the mixed layer depth, T' the mixed 364 temperature anomaly, T'_{sub} the subsurface temperature anomaly, $d\overline{T}/dz$ the climatological 365 subsurface temperature gradient, and h' the thermocline depth anomaly. Thus a stronger 366 dT/dz increases the SST sensitivity to thermocline displacement, which in turn may help 367 368 to strengthen ENSO activity through thermocline feedback. Our results (Figs. 13, 14) indicate that enhanced ENSO activity in turn strengthens dT/dz. This relationship 369 370 between ENSO activity and dT/dz forms a positive feedback loop. Regression coefficient 371between SST and SSH over Nino-3 region, R(T', h'), is a good indicator for thermocline feedback. R(T', h') in 20-year running mean is highly correlated with the ENSO 372373 amplitude modulation (Corr=0.55) above 95% significance level. This suggests that the stronger (weaker) thermocline feedback coefficient [κ in Eq. (2)] increases (decreases) 374ENSO amplitude. On the other hand, it should be noted that other process (zonal 375376 advection, surface heat flux etc.) are also important and the reality is more complicated.

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378 **6. Summary**

We have investigated the interdecadal modulation of ENSO amplitude and its relationship to the TPDV. From a 2000-year integration of CM2.1, interdecadal ENSO amplitude modulation is correlated with the second TPDV mode. From OGCM experiments, we show that the second TPDV mode is generated by not only the decadal wind forcing but also interannual forcing. In particular, the IAV wind rectification warms the thermocline along the 20°C isotherm in the eastern Pacific. In the mixed layer, enhanced IAV leads to a net warming in the east and a net cooling in the west half of the basin. In an isothermal coordinate view, upper layer stratification (dT/dz) intensifies during "strong ENSO/positive TPDV" epochs, and the idealized experiment suggests that the sharpened thermocline is due to the enhanced ENSO forcing.

Although the broad pattern of the rectification effect resembles what is identified before 389 390 (Sun and Zhang 2006, Sun 2010), we note an ENSO variance effect to sharpen 391 the thermocline in the cold-tongue region. Other factors being equal, such a sharpening 392 of the thermocline is expected to enhance the thermocline feedback supporting the 393 growth of ENSO anomaly. Stability analyses (e.g. Jin 1998, Fedorov and Philander 2001) 394 have indeed suggested that a warmer eastern Pacific with a moderately deeper 395 thermocline tend to support a stronger ENSO activity. Elevated ENSO activity causes not only a deepening but also a sharpening of thermocline in the eastern Pacific, which in 396 397 turn may further elevate ENSO activity.

398 The present study supports a positive feedback between ENSO variance and TPDV, and mechanisms for the phase transition of the ENSO variance cycle still remain unclear. 399 400 Several possibility exist. During high ENSO-variance epochs, the above positive 401 feedback may deepen the eastern thermocline so much so that ENSO may weaken or 402 disappear entirely (Liang et al. 2012). The reduction in ENSO activity will lead to a 403 weakened rectification effect, allowing the zonal SST gradient to strengthen as the system 404 is restored towards its equilibrium state under the combined effect of radiative forcing 405 and Bjerknes positive feedback (Liang et al. 2012). Hence "strong ENSO/positive 406 TPDV" epoch turns into "weak ENSO/negative TPDV" epoch. An alternative, null

hypothesis follows: TPDV arises simply due to nonlinear rectification of stochasticallyforced ENSO variability. These hypotheses need to be tested with a hierarchy of models.
The present identification of a positive feedback between ENSO amplitude and TPDV
helps explain why interdecadal modulation of ENSO amplitude has been prevalent over
the past millennium (Li et al. 2011). To the extent that ENSO is the dominant mode at
interannual variability, ENSO amplitude modulation has important implications for
climate extreme occurrence around the globe.

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416 Acknowledgements

We wish to thank Jinbao Li and Hiroki Tokinaga for constructive comments and suggestions. This research is supported by the National Science Foundation, and the Japan Agency for Marine-Earth Science and Technology (JAMSTEC) – International Pacific Research Center (IPRC) Initiative (JII) project. D.-.Z Sun was supported by climate and large-scale dynamics program of the US NSF (AGS 0852329). This is IPRC/SOEST publication #xxx/xxx.

423

424 Appendix A. Comparison in different OGCM responses

In this study, MOM version 3 (MOM3) is used for sensitivity experiments while the oceanic component of GFDL-CM2.1 is MOM version 4 (MOM4). There are some differences in horizontal and vertical resolution, mixing scheme, and surface boundary conditions. Comparison between MOM3 and MOM4 under the same boundary conditions, using Common Ocean-ice Reference Experiments version 2 (COREv2; Large

430 and Yeager, 2008) forcing, is performed. The latter is obtained from the GFDL archive.

431 Figure A1 shows longitude-depth sections on the equator of temperature and zonal 432current velocity in MOM3 and MOM4. In zonal current on the equator, the westward 433 surface current (about 100 cm/s) is stronger and the subsurface eastward equatorial under current (EUC; about 40 cm/s) is weaker in MOM3 than in MOM4. Horizontal and 434 435 vertical resolution in MOM3 is coarser than MOM4 (see Section 2). In MOM3, the coarse meridional resolution slows down the EUC, resulting in a too weak deceleration of 436 the westward surface current by vertical mixing. In MOM4, the explicit momentum 437 438 transport by TIWs, through too weak compared to observations, may cause a weak 439 surface current and off-equatorial maxima of surface westward current, in contrast to the equatorial maximum in MOM3 (not shown). A stronger westward current cools the warm 440 441 pool temperature (about 2°C) in the western Pacific in MOM3 through temperature 442 advection. Nevertheless, the overall structures of temperature and current (east-west 443 thermocline slope and vertical stratifications, surface westward current and underlying 444 EUC) are similar between MOM3 and MOM4. We note that Sun (2010, Plate 5) obtained a similar ENSO induced warming in the thermocline and surface cooling in the western 445 446 Pacific in a different OGCM. Similar TPDV structure between epochs of high and low ENSO variance is found in CMIP3 models (Yu and Kim 2011), further suggesting that 447448 our results are not sensitive the choice of models. The similarity to MOM3 and MOM4 449 results indicates that the rectification pattern in this study is robust.

450

451 Appendix B. Heat budget analysis

452 Section 4b suggests that temperature advection and vertical diffusion are important for

453ENSO rectification. Here we represent a detailed heat budget analysis. Figure A2 shows 454the difference between 2yrENSO and CTRL runs. Horizontal and vertical mixing terms are derived explicitly. In the western Pacific (0°N160°E), dynamical effect due to three-455dimensional advection tends to cool in the upper 100m and vertical diffusion acts to 456 457damp this cooling tendency. In the lower layer (100-150m depth) of a warming signal in Fig. 8b, the dynamical effect is a warming effect while vertical diffusion rapidly decays. 458459The strong vertical diffusion in the upper layer is consistent with the discussion in Section 4b. In the eastern Pacific (0°N250°E), the heat budget more complicated but 460 there is an overall balance between dynamical advection and vertical mixing in the top 461 462 80m. In the lower thermocline (about 150-200m depth), the dynamical term acts to warm the subsurface water while the vertical diffusion rapidly decays in water deeper than 80m. 463 464 These results from the heat budget analysis confirm that the dynamical term causes the 465ENSO rectification and the vertical diffusion effect is restricted in the mixed layer. The 466 decomposition of the advection terms (Figure A3) shows that cooling (warming) due to 467 the zonal (vertical) advection is significant in the western (eastern) tropical Pacific. These results are consistent with our discussion of Fig. 9. 468

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Figure 1: Seasonal march of composite ENSO. SST (°C) and surface wind (m/s) in
CM2.1 simulation (left) and observation (right). Each variables are normalized by Nino-3
SSTA (2.2°C in CM2.1, and 1.4°C in ERSST) and Nino-4 zonal wind anomaly (2.8 m/s
in CM2.1, and 1.8 m/s in NCEP) during December-February.

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9 Figure 2: Time series of CM2.1 simulated (a) 20 year sliding standard deviation of Nino-

- 10 3 index, and (b) second TPDV mode for the last 1000 years.
- 11



13 Figure 3: Regression pattern of the 20 year low-passed (a) SST (°C) and (b) SSH (cm)

14 anomaly field onto 20 year low-passed ENSO variance index.

15



Figure 4: Spatial SST (upper, °C) and SSH (lower, cm) anomaly pattern for (a) first
TPDV and (b) second TPDV mode. Surface heat flux (contour intervals at 0.1 W/m²;
positive downward) and surface wind (right in vector, m/s) are superposed. Variables
expect SST are regressed onto the SST PCs.



23 Figure 5: OGCM simulated decadal SSH responses (cm) to strong-ENSO minus weak-

- ENSO epochs for (a) DV+ENSO+ISV, (b) DV runs and (c) contribution of ENSO.
- 25



Figure 6: (a) second TPDV mode of temperature anomaly (°C) on the equator in CM2.1.

28 OGCM simulated temperature responses on the equator for (b) DV+ENSO+ISV, (c) DV

29 runs and (d) contribution of ENSO. Contour interval of mean temperature is 2°C and red

- 30 contour shows 20°C isotherm.
- 31



Figure 7: Spatial pattern of imposed zonal wind stress anomaly (dyn/cm²) in 2yrENSO run (lower left). Longitude section on the equator (upper) and latitude section at 190°E

- 35 (lower right) are also shown.
- 36



39 Figure 8: Response to idealized periodic ENSO forcing defined by difference between

40 2yrENSO and CTRL run, (a) SSH difference (cm) and (b) temperature difference on the

41 equator (°C)

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Figure 9: Response to idealized 2 year periodic ENSO forcing defined by difference between 2yrENSO and CTRL run, 30m temperature difference (shaded, °C) and current difference (vector, cm/s) during (a) La-Nina like period, (b) El-Nino like period and (c) mean temperature response. Mean SST in CTRL run is superposed in contour.



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Figure 10: Time series of temperature response (°C) on the equator during easterly to
westerly phase (left) and westerly to easterly phase (right). The 20°C isotherm in
2yrENSO (CTRL) run is superposed in solid (dashed) contour.

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Figure 11: Longitude-depth section on the equator of the log-scaled (a) vertical
temperature gradient and (b) Richardson number. Richardson number is calculated from
5-day snapshot, and then mean value is derived.



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Figure 12: (a) Depth-time section of idealized tanh(z) type thermocline variability (contour, °C) and its difference from a Lagrangian snapshot without vertical displacement (shaded, °C). (b) Temperature difference defined by Eulerian mean temperature minus Lagrangian snapshot. (c) dT/dz (°C/m) in Eulerian mean (green) and Lagrangian snapshot (black). In (a), red contour shows 20°C isotherm. Idealized thermocline T(z,t) is assumed as $T(z,t)=28-16(1+tanh(z-z_0(t)/H_0))/2$ (°C), $z_0(t)=100-20sin(2\pi t/24)$ (m), $H_0=30$ (m).



Figure 13: (a) Monthly standard deviation of SST anomaly over the Nino-3 region (°C) in "strong ENSO/positive TPDV" epochs (black) and "weak ENSO/negative TPDV" epochs (green) in CM2.1 simulation. (b) Temperature-time section of dT/dz difference (°C/m) defined by "strong ENSO/positive TPDV" epochs minus "weak ENSO/negative TPDV" epochs at 0°N250°E. (c) dT/dz (°C/m) in "weak ENSO/negative TPDV" epochs (green) and "strong ENSO/positive TPDV" epochs (black).



run minus CTRL run in OGCM sensitivity experiments at 0°N250°E. (b) dT/dz (°C/m) in 77CTRL run (green) and 2yrENSO run (black). 78





Figure A1: Longitude-depth section on the equator of temperature (upper: °C) and zonal current velocity (lower: cm/s) in (a) MOM3 and (b) MOM4 experiments forced by COREv2 normal-year forcing.





Figure A2: Heat budget terms (10⁻⁷ °C/s) in the difference between 2yrENSO and CTRL runs (2yrENSO-CTRL) at (a) 0°N160°E and (b) 0°N250°E. Three dimensional dynamical advection (thick line), horizontal diffusion (thin line), and vertical diffusion (thick dashed).



Figure A3: Horizontal distribution of advection terms (10^{-7} °C/s) as the difference between 2yrENSO and CTRL runs (2yrENSO-CTRL) in the upper 50m. (a) zonal advection (advx), (b) meridional advection (advy), (c) vertical advection (advz) and (d) these sum (advx+advy+advz).